

SRESTHA FINVEST LIMITED

Date: 16th May 2022

To

1. The Bombay Stock Exchange Limited, Mumbai.
2. The Metropolitan Stock Exchange of India Limited, Mumbai.

Dear Sir,

Sub: Annual Secretarial Compliance Report for the Year ended March 31, 2022

Ref: SEBI Circular No. CIR/CFD/CMD/27/2019 dated February 8, 2019.

Please find enclosed herewith the Annual Secretarial Compliance Report for the year ended March 31, 2022, issued by M/s AXN Prabhu & Associates, Practicing Company Secretaries and Secretarial Auditors of our Company.

We request you to kindly take the same on record.

Thanking you,

Yours faithfully,

For Srestha Finvest Ltd

A.JITENDRA
KUMAR
BAFNA

Digitally signed by A.JITENDRA
KUMAR BAFNA
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email=asadgh@gmail.com, c=IN
Date: 2022.05.16 17:44:41 +05'30'

Company Secretary & Compliance Officer

Encl. As above



AXN PRABHU & ASSOCIATES
COMPANY SECRETARIES

**Secretarial Compliance Report of Srestha Finvest Limited
For the year ended March 31, 2022**

I, **AXN Prabhu**, the proprietor of **M/s. AXN Prabhu & Associates**, have examined:

- (a) all the documents and records made available to us and explanation provided by **M/s. Srestha Finvest Limited** ("the Listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI ACT") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (d) Securities and Exchange Board of India (Depositories & Participants) Regulations, 2018;

and circulars/guidelines issued thereunder; further the non-applicable regulations are not dealt with.



AXN PRABHU & ASSOCIATES
COMPANY SECRETARIES

Further and based on the above examination, I hereby report that, during the review period;

- (a) The Listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder,
- (b) The listed entity has maintained proper record under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) The following are the details of actions taken against the listed entity/directors/ material subsidiaries either by SEBI or by stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

S. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/remarks of the Practicing Company Secretary, if any.
	Nil			

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

S. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the Secretarial Compliance Report for the year ended	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NOT APPLICABLE				

For M/s AXN Prabhu & Associates
Company Secretaries

AXN
Prabhu

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AXN Prabhu
Proprietor

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UDIN:F003902D000327313

Place: Chennai
Date: 16/05/2022

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