

# BANTHIA & COMPANY

COMPANY SECRETARIES

G. K. BANTHIA

Ref. No:.....

261, Z-1, Barra-II,  
Kanpur - 208 027  
Ph. (O/R) 2612511, 2612434  
Fax (P.P.) 2305993

Date:.....

## Secretarial compliance report of Superhosue Limited

for the year ended 31<sup>ST</sup> March 2019

I Banthia And Company have examined:

(a) all the documents and records made available to us and explanation provided by Superhouse Limited ("the listed entity"),

(b) the filings/ submissions made by the listed entity to the stock exchanges,

(c) website of the listed entity,

(d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31<sup>st</sup> March 2019 ("Review Period") in respect of compliance with the provisions of :

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; ( Not Applicable)

(c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

(d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; ( Not Applicable)



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- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; ( Not Applicable)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; ( Not Applicable)
- (g) Securities and Exchange Board of India(Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations,2013; ( Not Applicable)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India( Registrar to Issue and Share Transfer Agents) Regulations 1993
- (j) Securities and Exchange Board of India (Depositories and Participants) Regulations 1996

and circulars/ guidelines issued thereunder;

and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:



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Sr.No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observation/remarks of the Practicing Company Secretary, if any
-	NIL	NIL	NIL	NIL

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: **(Not applicable since the report is being issued for first time. Hence no action is warranted/required by the Company)**

Place: Kanpur

Date: 18.05.2019

Signature:

Name of the Practicing Company Secretary

*G. K. Banthia*  
**BANTHIA & COMPANY**  
Company Secretaries  
G.K. BANTHIA PROPRIETOR  
261, Z-1, Barra-II,  
KANPUR-208 027  
C.P. No 1405

ACS/ FCS No.: 4933

C P No.: 1405