

April 17, 2023

<b>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 542773</b>	<b>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLSEC</b>
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Dear Sir/Madam,

**Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the year ended March 31, 2023**

Please find enclosed herewith Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the year ended March 31, 2023

Kindly take the same on record and oblige.

Thanking You,

Yours faithfully,

**For IIFL Securities Limited**

**Meghal Shah  
Company Secretary**

Encl: as above

**NILESH G. SHAH** B.Com., U.B (G), F.C.S.

**Company Secretaries**

Ref \_\_\_\_\_

**UDIN: F004554E000114171**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED 31.03.2023**

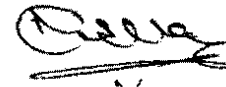
I have examined all Share Transfer Deeds, Memorandum of Transfers Registers, files and other documents relating to **IIFL SECURITIES LIMITED** maintained by M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from 01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to IIFL Securities Limited and based on the information provided by the Company and the Registrar, I hereby certify that the Company has not received any request relating to the Transmission, Name Deletion, Sub-division, Consolidation, Replacement / Exchange of Certificate and Endorsement for calls/allotment Money for the year ended on 31<sup>st</sup> March, 2023.

**Date: 17.04.2023**

**Place: Mumbai**

Peer Review No: 745/2020.

**Signature:** \_\_\_\_\_



**NILESH G. SHAH.**  
**Company Secretary**  
**C. P. No: 2631**

