



पंजाब नैशनल बैंक



punjab national bank

Share Department, Board & Coordination Division, HO Plot No.4 Sector 10, Dwarka, New Delhi-110075
Tel No. : 011-28044857, E-mail: hosd@pnb.co.in

Scrip Code : PNB The Asstt. Vice President National Stock Exchange of India Limited "Exchange Plaza" Bandra – Kurla Complex, Bandra (E) Mumbai – 400 051	Scrip Code : 532461 The Dy. General Manager BSE Limited 1 st Floor, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001
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Date: 03.12.2021

Dear Sir (s),

Sub: Reporting contravention of PNB's Code of Conduct for Prevention of Insider Trading under SEBI (Prohibition of Insider Trading) Regulations, 2015

Please find enclosed the Report on the captioned subject in terms of the SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.

We request you to please take the same on record.

Thanking you,

Yours faithfully,

(Ekta Pasricha)
Company Secretary



Encl.: A/a

Report by Punjab National Bank for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details																				
1	Name of the listed company/ Intermediary/ Fiduciary	Punjab National Bank																				
2	Reporting in capacity of: <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Entity																				
3	A. Details of Designated Person (DP)																					
	(i) Name of DP	(i) Mr. Mahesh Rastogi (ii) Mr. Parmesh Jindal (iii) Mr. Shiv Parshad (iv) Mr. Harvinder Kumar Bhalla (v) Mr. Mohnish Puri (vi) Mr. Mohanasiva Suryavenkatamanideep Bolloju (vii) Ms. Selwyn Melroy Andrews (viii) Mr. Aditya Kaushik (ix) Mr. Jeet Kumar																				
	(ii) PAN of the DP	<table border="1"> <thead> <tr> <th>Name</th> <th>PAN</th> </tr> </thead> <tbody> <tr> <td>Mr. Mahesh Rastogi</td> <td>ACNPR4674G</td> </tr> <tr> <td>Mr. Parmesh Jindal</td> <td>ABKPJ7198P</td> </tr> <tr> <td>Mr. Shiv Parshad</td> <td>ADHPS8437R</td> </tr> <tr> <td>Mr. Harvinder Kumar Bhalla</td> <td>ACHPB6706H</td> </tr> <tr> <td>Mr. Mohnish Puri</td> <td>BAQPP1983C</td> </tr> <tr> <td>Mr. Mohanasiva Suryavenkatamanideep Bolloju</td> <td>AUWPB3249G</td> </tr> <tr> <td>Ms. Selwyn Melroy Andrews</td> <td>BADPP8539D</td> </tr> <tr> <td>Mr. Aditya Kaushik</td> <td>CLNPK2881H</td> </tr> <tr> <td>Mr. Jeet Kumar</td> <td>ASCPK0835B</td> </tr> </tbody> </table>	Name	PAN	Mr. Mahesh Rastogi	ACNPR4674G	Mr. Parmesh Jindal	ABKPJ7198P	Mr. Shiv Parshad	ADHPS8437R	Mr. Harvinder Kumar Bhalla	ACHPB6706H	Mr. Mohnish Puri	BAQPP1983C	Mr. Mohanasiva Suryavenkatamanideep Bolloju	AUWPB3249G	Ms. Selwyn Melroy Andrews	BADPP8539D	Mr. Aditya Kaushik	CLNPK2881H	Mr. Jeet Kumar	ASCPK0835B
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(iii) Designation of the DP	Name	Designation/ Role	
	Mr. Mahesh Rastogi	DGM	
	Mr. Parmesh Jindal	DGM	
	Mr. Shiv Parshad	DGM	
	Mr. Harvinder Kumar Bhalla	AGM	
	Mr. Mohnish Puri	Chief Manager	
	Mr. Mohanasiva Suryavenkatamanideep Bolloju	Senior Manager	
(iv) Functional Role of the DP	Ms. Selwyn Melroy Andrews	Manager	
	Mr. Aditya Kaushik	Manager	
	Mr. Jeet Kumar	Sub-Staff	
(v) Whether DP is Promoter or belongs to Promoter Group	No		
B. If Reporting is for immediate relative of DP			
(i) Name of the immediate relative of DP	Not Applicable		
(ii) PAN of the immediate relative of DP	Not Applicable		
C. Details of transaction(s)			
a) Name of the scrip	Punjab National Bank NSE Code: PNB BSE Code: 532461		
b) No of shares traded (which includes pledge) and value (Rs.) (Date-wise)	Name	No. of shares sold	Period of Transaction
	Mahesh Rastogi	2800	From 01.10.2021 to 22.10.2021
	Parmesh Jindal	1000	From 15.10.2021 to 22.10.2021
	Shiv Parshad	360	From 08.10.2021 to 22.10.2021
	Harvinder Kumar Bhalla	100	From 08.10.2021



			to 15.10.2021
	Mohnish Puri	500	▪ From 22.10.2021 to 29.10.2021
	Mohanasiva Suryavenkata manideep Bolloju	250	▪ From 15.10.2021 to 22.10.2021
	Selwyn Melroy Andrews	290	▪ From 08.10.2021 to 22.10.2021
	Aditya Kaushik	1010	▪ From 15.10.2021 to 22.10.2021
	Jeet Kumar	483	▪ From 22.10.2021 to 29.10.2021

D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter

	(i) Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
	(ii) Date of intimation of trade(s) by Company to Stock Exchanges under regulation 7 of SEBI (PIT) Regulations, 2015.	Not Applicable
4	Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015	Transactions were undertaken during the Trading Window closure period.
5	Action taken by listed company / Intermediary/ Fiduciary	(i) Penalty imposed on DPs and Profit earned by DPs deposited with SEBI-IPEF.



		<ul style="list-style-type: none"> For DPs who have traded in up to 1000 shares, Penalty of Rs.1,000/- each, has been imposed which has been deposited with SEBI-IPEF. For DPs who have traded in above 1000 shares, Penalty of Rs.2,000/- each, has been imposed which has been deposited with SEBI-IPEF. Loss was booked by all DPs except two, namely, Shri Shiv Parshad and Shri Harvinder Kumar Bhalla who earned marginal profit amounting to Rs.1073/- and Rs.625/-, respectively, which has been deposited with SEBI-IPEF. <p>(ii) Caution Letter has been issued to all DPs advising strict adherence to the Bank's Code in future.</p>						
6	Reasons recorded in writing for taking action stated above	<p>Transactions were undertaken during the Trading Window closure period.</p> <p>Since the quantity traded was marginal and loss was booked in all cases with the exception of nominal profit in two cases, Penalty was imposed on the DPs. Further, Caution Letter has been issued to all DPs advising strict adherence to the Banks' Code in future.</p>						
7	Details of the previous instances of violations, if any, since last financial year	Nil						
8	If any amount collected for Code of Conduct violation(s):							
	(i) Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online						
	(ii) Details of transfer/payment	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the Transferor</td> <td>Punjab National Bank</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td>Punjab National Bank, Head Office - 1988002100183 557</td> </tr> </tbody> </table>	Particulars	Details	Name of the Transferor	Punjab National Bank	Bank Name, branch and Account number	Punjab National Bank, Head Office - 1988002100183 557
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Bank Name, branch and Account number	Punjab National Bank, Head Office - 1988002100183 557							



		UTR/Transaction Reference Number	PUNBH213365 86455
		Transaction Date	02.12.2021
		Transaction Amount (in Rs.)	Rs.12698
9	Any other relevant information	Nil	

Date: 03.12.2021

Place: New Delhi

Yours faithfully,

For Punjab National Bank



[Handwritten Signature]

(D.K. Jain)

Chief Financial Officer & Compliance Officer

PAN: ABPPJ0730L

Email id: hosd@pnb.co.in