

July 26, 2022

The Department of Corporate Services - CRD
BSE Limited
Pheeroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai - 400 001

The Secretary
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No- 'C Block, G Block
Bandra-Kurla Complex, Bandra (East)
Mumbai 400 051

Scrip Code: 531595

Scrip Code: CGCL

Sub: Capri Global Capital Limited - Reporting under SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir / Madam,

The details of violation of the Company's Code of internal procedures and conduct for Regulating, Monitoring and Reporting of Trading by Insiders (The Code) formulated under the SEBI (Prohibition of Insider Trading) Regulations, 2015 (SEBI PIT Regulations) by Designated Person ("DP") along with the action taken by the Company thereon is enclosed as "Annexure A".

This disclosure is being made in terms of the SEBI PIT Regulations, applicable SEBI and Stock Exchange Circular(s).

Please take the above disclosure on record.

Thanking you,

Yours faithfully,

for **Capri Global Capital Limited**

Yashesh Bhatt
Company Secretary
Membership No. - ACS 20491

Capri Global Capital Limited

Registered Office:

CIN: L65921MH1994PLC173469 Regd. Off: 502, Tower A, Peninsula Business Park, Lower Parel, Mumbai – 400013.

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Annexure A

Report by Capri Global Capital Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

[For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Capri Global Capital Limited
2	<i>Please tick appropriate checkbox</i> Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Mr. Vaibhav Shah
	ii. PAN of the DP	BAOPS3959K
	iii. Designation of DP	National Credit Manager
	iv. Functional Role of DP	Credit - UR
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	N.A.
	ii. PAN of the immediate relative of DP	N.A.
	C. Details of transaction(s)	
	i. Name of the scrip	Capri Global Capital Limited
	ii. No of shares traded and value (Rs.) (Date- wise)	400 Equity Shares purchased on June 27, 2022, for total value of Rs.2,83,968.
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP / director/ promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.
	ii. Date of intimation of trade(s) by Company to Stock Exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.
4	Details of violations observed under Code of Conduct	Contra Trade
5	Action taken by Listed company/ Intermediary/ Fiduciary	Penalty of Rs. 5000/- imposed on the DP

Sr. No.	Particulars	Details	
6	Reasons recorded in writing for taking action stated above	The aforesaid action was taken after taking into account the following factors: 1. The quantum of shares involved; 2. Contra Trade	
7	Details of the previous instances of violations, if any, since last financial year	N.A. (first time offence)	
8	If any amount collected for Code of Conduct violation(s)		
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online	
	ii. Details of transfer/payment In case of Online:	Particulars	Details
		Name of the transferor	Vaibhav Shah
		Bank Name, branch and Account number	Bank: Axis Bank Branch: South Bopal, Ahmedabad Account Number: 910010017242924
		UTR/Transaction reference Number	AXMB221947685478
		Transaction date	13.07.2022
Transaction Amount (in Rs.)	Rs. 5000/-		
9	Any other relevant information	None	

Yours faithfully,
for **Capri Global Capital Limited**

(Yashesh Bhatt)
Company Secretary
PAN: AFLPB5264R
E-mail ID: Yashesh.Bhatt@capriglobal.in, secretarial@capriglobal.in

Place: Mumbai
Date: July 26, 2022

Encl.: As above

Report by Director of the Listed Company/Intermediary/Registrar for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 - Capri Global Capital Limited																															
For those companies which do not have any violations under SEBI (Prohibition of Insider Trading) Regulations, 2015																															
SEBI (Prohibition of Insider Trading) Regulations, 2015																															
1. Name of the listed company/intermediary/Registrar	2. Name of the Listed Company/Intermediary/Registrar	3. Name of the DP	4. PAN of the DP	5. Designation of DP	6. Functional Role of DP	7. Whether DP is Promoter or holding 1% or more of Shares	8. Name of the immediate relative of DP	9. PAN of the immediate relative of DP	10. Name of the DP	11. No. of shares traded and value (Rs.) (Date - when)	12. Date of introduction of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 3 of SEBI (PIT) Regulations, 2015	13. Date of introduction of trade(s) by Company to stock exchange under regulation 3 of SEBI (PIT) Regulations, 2015	14. Details of violations observed under Code of Conduct	15. Action taken by Listed company/intermediary/Registrar	16. Reasons recorded in writing for taking action stated above	17. Details of the previous instances of violations, if any, since last financial year	18. If any amount collected for Code of Conduct 2015-16	19. In case of write-off			20. Any other relevant information	21. Date	22. Place	23. Name of Compliance Officer	24. PAN	25. Email ID					
	Capri Global Capital Limited	Mr. Vishnuvaran	640710598	Regional Credit Manager	Credit - US	No	N.A.	N.A.	Capri Global Capital Limited	400 Equity Shares purchased on June 27, 2022, for total value of Rs. 249,360	N.A.	N.A.	Control Trade	Privately of Rs. 5000/- repaid on the DP	The aforesaid action was taken after taking into account the following factors: 1. The quantum of shares involved; 2. Control Trade.	N.A. (First time offence)	Online	Vishnuvaran	Bank: Axis Bank Branch: South Block, Mumbai-400 Account Number: 10101001742424	AX86022194765476	13.07.2022	5000	N.A.	N.A.	N.A.	N.A.	26.07.2022	Mumbai	Vishnuvaran	AE196326R	vishnuvaran@capri.com