



January 23, 2024

Ref. FEDFINA/ CS/ 39/ 2023-24

To, National Stock Exchange of India Ltd., Listing Department Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051	To, BSE Limited, The Corporate Relationship Dept. Rotunda Building, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400 001
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Re: Scrip Code: 544027, Symbol: FEDFINA

Sub: Report of violation related to SEBI (Prohibition of Insider Trading) Regulations, 2015 and Code of Conduct of the Company made thereon.

Dear Sir/Madam,

This is in reference with SEBI circular no. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020 ("SEBI Circular"). The Company hereby report violation related to SEBI (Prohibition of Insider Trading) Regulations, 2015 and Code of Conduct of the Company made thereon, by one of the designated persons.

Further, the details of the violation as per the SEBI Circular is enclosed and marked as Annexure A.

The above is submitted for your kind information and appropriate dissemination.

Thanking you,

Yours Faithfully
For Fedbank Financial Services Limited

Rajaraman Sundaresan
Company Secretary & Compliance Officer
Membership No.: F3514

Encl: as above



Annexure- A

**Report by Fedbank Financial Services Limited for violation related to SEBI
(Prohibition of Insider Trading) Regulations, 2015 and the Company's Code of Conduct for Insider Trading**

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Fedbank Financial Services Limited
2	<p><i>Please tick appropriate checkbox</i></p> Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Mr. Sameer Chavan
	ii. PAN of the DP	ALUPC0482D
	iii. Designation of DP	Manager
	iv. Functional Role of DP	Risk- Gold Loan
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	Fedbank Financial Services Limited Symbol: FEDFINA Scrip code: 544027
	ii. No of shares traded and value (Rs.) (Date Wise)	15.01.2024 - Purchased 1,000 equity shares for Rs. 1,39,550/- 15.01.2024 – Sold 575 equity shares for Rs. 80,241.25/- 15.01.2024 – Sold 425 equity shares for Rs. 59,330/- 16.01.2024 - Purchased 1000 equity shares for Rs. 1,39,550/-
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter	
i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA	
ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA	

Registered & Corporate Office: Kanakia Wall Street, A Wing, 5th Floor, Unit No.511, Andheri Kurla Road Andheri (East) Mumbai -400093, Maharashtra. Tel: 022 68520601

● **E-mail:** customercare@fedfina.com ● **web:** www.fedfina.com ● **CIN:** U65910MH1995PLC364635



Sr. No.	Particulars	Details								
4	Details of violations observed under Code of Conduct	Mr. Sameer Chavan has done contra trade unintentionally during the closure of trading window period i.e. from 01 st January, 2024 till 17 th January, 2024.								
5	Action taken by Listed company/Intermediary/Fiduciary	The violation is being placed before the Audit Committee in the ensuing meeting for directions. Action is pending with the Audit Committee and the same will be intimated to the stock exchanges once decided by the Audit Committee.								
6	Reasons recorded in writing for taking action stated above	Violation of SEBI (PIT) Regulations, 2015 and the Company's Code of Conduct for Insider Trading to Regulate, Monitor and Report Trading by the Insiders								
7	Details of the previous instances of violations, if any, since last financial year	NA								
8	If any amount collected for Code of Conduct violation(s)									
	i. Mode of transfer to SEBI - IPEF (Online/ Demand Draft)	NA								
	ii. Details of transfer/payment in case of online: NA									
	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td rowspan="5">Nil</td> </tr> <tr> <td>Bank Name, branch and Account number</td> </tr> <tr> <td>UTR/Transaction reference Number</td> </tr> <tr> <td>Transaction date</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> </tr> </tbody> </table>		Particulars	Details	Name of the transferor	Nil	Bank Name, branch and Account number	UTR/Transaction reference Number	Transaction date	Transaction Amount (in Rs.)
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Bank Name and branch	Nil									
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DD amount (in Rs.)										
9	Any other relevant information	None								

Yours Faithfully
For Fedbank Financial Services Limited

Rajaraman Sundaresan
Company Secretary & Compliance Officer
Membership No.: F3514