

SHREE GLOBAL TRADEFIN LIMITED

Regd. Off: 35, Ashok Chambers, Broach Street, Devji Ratansey Marg, Masjid, Mumbai – 400 009.
Tel. 022 – 2348 0526 email : sgtl2008@gmail.com CIN : L27100MH1986PLC041252 Website : www.sgtl.in

Date: 21/10/2019

The Deputy General Manager
Department of Corporate Services
The Bombay Stock Exchange Limited
27th Floor, P.J. Towers, Dalal Street,
Mumbai - 400 001

Company Code No. 512463

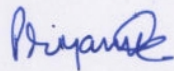
Dear Sir,

Sub: Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 for the half year ended 30/09/2019

Enclosed herewith please find Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from 1st April, 2019, to 30th September, 2019, issued by B.R Gupta & Co., Practicing Company Secretaries.

Thanking you,
Yours faithfully

For Shree Global Tradefin limited



Priyanka Agrawal
Company Secretary





**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019

The Securities and Exchange Board of India vide gazette notification dated 08th June, 2018 and its press release on 03rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019.


Accordingly, we have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **SHREE GLOBAL TRADEFIN LIMITED** maintained by **BIGSHARE SERVICES PRIVATE LIMITED**, Registrar and Share Transfer Agents pertaining to transfer deeds which were lodged prior to 01st April, 2019 and re-lodged thereafter, for transfer of fully paid equity shares of the company for the period from 01st April, 2019 to 30th September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015, and based on the information provided by the Company.

We hereby certify that:

A) Share Certificate(s) relating to the Share Transfer forms lodged prior to 01st April, 2019 and re-lodged thereafter, received during the period from 01st April, 2019 to 30th September, 2019 as entered in the Memorandum of Transfers have been issued within thirty days from the respective date of lodgement of each form excepting those rejected on technical grounds.

B) Any other information, if applicable - NIL.

For B R Gupta & Co.
Practising Company Secretary


Rahul Gupta
Proprietor
UDIN: A043021A000128018
M. No.: 43021 & C.P. No.: 20863



Place: Mumbai & Date: 19.10.2019