



July 25, 2020

To
National Stock Exchange of India Limited,
Compliance Department,
Exchange Plaza, Bandra Kurla Complex,
Bandra (East), Mumbai – 400 051,
Maharashtra, India

To
BSE Limited,
Compliance Department,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai – 400 001,
Maharashtra, India

Dear Sir/Madam,

Subject : Annual Secretarial Compliance Report for the year ended March 31, 2020 as per Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Stock Code: BSE – 539787, NSE – HCG

We are enclosing herewith the Annual Secretarial Compliance Report in terms of Regulation 24A of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2020 issued by Mr. V. Sreedharan, Partner, V. Sreedharan & Associates, Company Secretary in Practice.

Kindly take this on record and acknowledge receipt of the same.

Thanking you,

For HealthCare Global Enterprises Limited

SUNU MANUEL Digitally signed by SUNU MANUEL
Date: 2020.07.25 23:52:35 +05'30'

Sunu Manuel
Company Secretary & Compliance Officer

Enclosure: as above

HealthCare Global Enterprises Limited

HCG Tower, # 8, P Kalinga Rao Road, Sampangi Rama Nagar, Bangalore - 560027.

080 33669999 | info@hcgoncology.com | www.hcgoncology.com | CIN : L15200KA1998PLC023489



**Secretarial Compliance Report of
Healthcare Global Enterprises Limited
for the Year ended March 31, 2020
(CIN: L15200KA1998PLC023489)**

[Pursuant to Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015]

We have examined:

- (a) all the documents and records made available to us and explanation provided by Healthcare Global Enterprises Limited (“the listed entity”);
- (b) the filings/ submissions made by the listed entity to the stock exchanges;
- (c) website of the listed entity;
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification;

for the year ended March 31, 2020 (“Review Period”) in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder have been examined include: -

- (a) The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

- (d) The Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 **(Not Applicable to the Company during the Review Period)**;
- (e) The Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 **(Not Applicable to the Company during the Review Period)**;
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013 **(Not Applicable to the Company during the Review Period)**;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) SEBI (Registrar to the Issue and Share Transfer Agent) Regulations, 1993 regarding the Companies Act and dealing with client;

and based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except that the Annual Compliance Report for the year ended March 31, 2019, as required under Sl. No. 3(b) (iii) of the SEBI Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019, effective from the year ended March 31, 2019, was uploaded to the stock Exchanges on June 10, 2019, with a marginal delay of 10 days. The Company has informed the National Stock Exchange about submission of the Annual Compliance Report.”
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- (c) There was no action taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

- (d) The listed entity was not required to take any actions as there was no observations made by the Practicing Company Secretary (Secretarial Auditors) in previous reports.
- (e) Since the auditor has already been appointed, the terms of appointment of the auditor have been suitably modified to give effect to Sl. No. 6(A) and 6(B) of SEBI Circular CIR/CFD/CMD 1/114/2019 dated October 18,2019.

For V. Sreedharan & Associates

VISHWANATH
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SREEDHARAN

Digitally signed by VISHWANATHAN
SREEDHARAN
DN: cn=V. Sreedharan, postalCode=560078,
o=Kamataha,
c=IN, email=Vishwanathan.Sreedharan@vsa.com,
serialNumber=10, uri=urn:ietf:params:spki:uri=mailto:Vishwanathan.Sreedharan@vsa.com,
uid=VISHWANATHAN.SREEDHARAN,
date=20200725 19:59:08 +05'30'

(V. Sreedharan)

Partner

FCS 2347; CP No. 833

Place: Bengaluru

Date: July 25, 2020

UDIN Number: F002347B000497974