

# ONELIFE CAPITAL ADVISORS LIMITED

CIN: L74140MH2007PLC173660

Regd. Off: Plot No. A 356, Road No. 26, Wagle Industrial Estate, MIDC, Thane (W) - 400604 Thane 400604

Tel no.: 022-25833206; Email id: [cs@onelifecapital.in](mailto:cs@onelifecapital.in); Web: [www.onelifecapital.in](http://www.onelifecapital.in)

**May 30, 2022**

To,

**BSE Limited**

Department of Corporate Services

Phiroze Jeejeebhoy Towers

Dalal Street, Fort,

Mumbai- 400 001

**Script Code: 533632**

**National Stock Exchange of India Ltd**

Exchange Plaza

Bandra- Kurla Complex

Bandra, Mumbai- 400 051

**Symbol: ONELIFECAP**

Dear Sir/Madam,

Sub: Submission of Annual Secretarial Compliance Report for the year ended 31.03.2022

Ref : SEBI Circular No.CIR/CFD/CMDI/ 27/2019 dated 8th February, 2019

With reference to the above subject and in terms of the SEBI circular vide: No.CIR/CFD/CMDI/27/2019 dated 8th February, 2019.

We are submitting herewith the Annual Secretarial Compliance Report of the Company for the year ended 31st March, 2022, issued by M/s. Ajay Kumar & Co., Practicing Company Secretaries.

Kindly take the same on record.

Thanking You.

Yours Faithfully,

**For Onelife Capital Advisors**

Sd/-

**Pandoo Naig**

**Managing Director**

**Encl: a/a**

# **AJAY KUMAR & Co.**

## **PRACTISING COMPANY SECRETARIES**

Off.: 103, A.S. Dias Building, 1<sup>st</sup> Floor, 268/272, Dr. Cawasji Hormasji Street, Marine Lines, Mumbai - 400002.  
Tel: (O) 22078438, 24171151 Fax: 22076151, Mobile: 9821227358, Email: [ajay\\_199@yahoo.com](mailto:ajay_199@yahoo.com),  
Website: [www.csajaykumar.com](http://www.csajaykumar.com)

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### **Secretarial compliance report of ONELIFE CAPITAL ADVISORS LIMITED for the year ended 31<sup>st</sup> March, 2022**

I have examined:

- (a) all the documents and records made available to us and explanation provided by **Onelife Capital Advisors Limited [CIN:L74140MH2007PLC173660]** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2022 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder;and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");
- (c) The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-
  - (i) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
  - (ii) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations,2018;
  - (iii) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations,2011;
  - (iv) Securities and Exchange Board of India (Buyback of Securities) Regulations,2018; [Not applicable during the review period]
  - (v) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; [Not applicable during the review period]
  - (vi) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; ; [Not applicable during the review period]
  - (vii) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; [Not applicable during the review period]
  - (viii) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and circulars/ guidelines issued thereunder; [Not applicable during the review period]

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) The following are the details of actions taken against the listed entity/its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder: **[NOT APPLICABLE]**

<i>Sr. No.</i>	<i>Action taken by</i>	<i>Details of Violation</i>	<i>Details of action taken e.g. fines, warning letter, debarment, etc.</i>	<i>Observations/ remarks of the Practising Company Secretary, if any.</i>

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports: **[NOT APPLICABLE]**

<i>Sr. No.</i>	<i>Observations of the Practising Company Secretary in the previous reports</i>	<i>Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)</i>	<i>Actions taken by the listed entity, if any</i>	<i>Comments of the Practising Company Secretary on the actions taken by the listed entity</i>

Signature: **Ajay Kumar**

(Ajay Kumar)  
Ajay Kumar & Co.  
Practising Company Secretary  
FCS No. 3399  
C.P. No. 2944  
UDIN: F003399D000421069  
PR No. 1119/2021

Digitally signed by  
Ajay Kumar  
Date: 2022.05.28  
22:40:30 +05'30'

Date: 28.05.2022  
Place: Mumbai

