

DB (International) Stock Brokers Ltd.

- NSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS
 - BSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS
 - DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS
- Corp. Office: 402, New Delhi House, 27, Barakhamba Road, New Delhi -110001
Tel.:011-43606162
Website Address: www.dbonline.in
CIN: L67120HR1992PLC035349
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10th October, 2019

Department of Corporate Services
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers,
Dalal Street
Mumbai-400001

Sub: Submission of Compliance Certificate under Regulation 40(9) & (10) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015.

Dear Sir,


Please find enclosed the Certificate under Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 duly certified by the Practicing Company Secretary for the half year ended on 30th September, 2019.

Kindly take the above on record.

Thanking you,

Yours faithfully,

For DB (International) Stock Brokers Limited


Himanshi Mittal
Compliance Officer
Encl: As Above





D BANSAL & ASSOCIATES

(COMPANY SECRETARIES)

CERTIFICATE

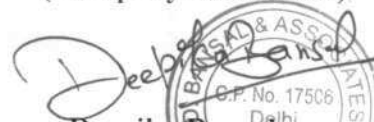
CERTIFICATE UNDER REGULATION 40(9) & (10) OF THE SEBI (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2019

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *DB International Stock Brokers Limited, having its office at 402, New Delhi House, 27 Barakhamba Road New Delhi-110001* maintained by *Abhipra Capital Limited, having its office at Abhipra Complex, A-387, Dilkhush Industrial Area, GT Karnal Road, Delhi-110033* pertaining to transfer of equity shares of the company for the period from *1st April, 2019 to 30th September, 2019* for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 based on the information provided and records placed before me I hereby certify that :

- Share Certificate(s) relating to the transfer of Shares received during the period from *1st April, 2019 to 30th September, 2019* as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

Dated: 9th October, 2019
Place: Delhi

For D Bansal & Associates
(Company Secretaries)


Deepika Bansal
(Proprietor)
Membership No: 47810
C.P No: 17506
UDIN-A047810A000040418