

# NALWA SONS INVESTMENTS LIMITED

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Regd. Office: 28, Najafgarh Road,  
Moti Nagar Industrial Area,  
Delhi – 110 015, India  
CIN: L65993DL1970PLC146414

May 26, 2020

BSE Ltd.  
Corporate Relationship Department,  
1st Floor, New Trading Ring,  
Rotunda Building, P J Towers,  
Dalal Street, Fort, Mumbai – 400 001  
# 022 - 2272 3121, 2037, 2041,  
3719, 2039, 2272 2061  
corp.relations@bseindia.com  
**Security Code No.: 532256**

National Stock Exchange of India Ltd.  
Exchange Plaza, 5th Floor,  
Plot no. C/1, G Block  
Bandra-Kurla Complex, Bandra(E),  
Mumbai-400051  
# 022 -2659 8237, 8238, 8347, 8348  
cm1ist@nse.co.in

**Security Code No.: NSIL**

**Sub: Compliance Certificate under Reg. 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

In terms of the provisions of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are forwarding herewith a Certificate dated 26<sup>th</sup> May, 2020, from Mr. Rajesh Garg of M/s Rajesh Garg & Co., Company Secretaries for the half year ended on 31<sup>st</sup> March, 2020.

You are requested to kindly take the same on record.

Thanking you.

Yours sincerely,  
For **Nalwa Sons Investments Limited**

(Ajay Mittal)  
Company Secretary



Encl.: As mentioned above

**RAJESH GARG & CO.**  
Company Secretaries

Office: 1226, Urban Estate -II  
Near Dabra Chowk  
Hisar (Haryana)  
M-98120-10694

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2020.**

The Securities and Exchange Board of India vide gazette notification dated 8<sup>th</sup> June, 2018 and its press release on 3<sup>rd</sup> December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 1<sup>st</sup> April, 2019. Further SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after deadline on 1<sup>st</sup> April, 2019.

Accordingly, I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Nalwa Sons Investments Limited (the Company) maintained by M/s Link Intime India Pvt. Limited, Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer deeds which were lodged prior to 1<sup>st</sup> April, 2019 and re-lodged thereafter, for transfer of equity shares of the Company for the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 for the purpose of issuing a certificate as per Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, and to the best of my knowledge, I hereby certify that:

A) (i) the Company has delivered during half year ended on 31<sup>st</sup> March, 2020 share certificates relating to transfer deeds lodged prior to 1<sup>st</sup> April, 2019 and re-lodged thereafter, received during the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020, within thirty days from the respective date of lodgment of each deed excepting those rejected on technical grounds;


(ii) the Company has delivered during half year ended on 31<sup>st</sup> March, 2020 share certificates in respect of requests relating to sub-division (split), renewal, duplicate share certificate(s) in lieu of original lost/misplaced and consolidation received during the period from in relation to the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020, within thirty days from the respective date of lodgment of each deed excepting those rejected on technical grounds.

B) Any other information, if applicable: Nil

Place: Hisar  
Date: May 26, 2020  
UDIN=F005960B000277594



For Rajesh Garg & Co.

  
Rajesh Garg  
Company Secretary  
C.P. No. 4093

Note: Due to lockdown on account of COVID – 19 Pandemic, we were not able to carry out physical visit to RTA office for verification of data. This certificate issued on the basis of inputs/confirmations received from RTA including email received from them.

