

October 23, 2020

To, BSE Limited, Phiroze Jeejeebhoy Towers, 25 th Floor, Dalal Street, Mumbai – 400 001. Scrip Code: 512165	To, Metropolitan Stock Exchange of India, Vibgyor Towers, 4th Floor, Plot No. C 62, G Block, Opp. Trident Hotel, Bandra Kurla Complex, Bandra (E), Mumbai – 400 098 Scrip Name: ABANS
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Subject: Submission of Compliance Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2020

Dear Sir/Ma'am,

In compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Compliance Certificate issued by a Practicing Company Secretary for the half year ended 30th September, 2020.

Kindly take the above on your record.

Thanking You,

For Abans Enterprises Limited

Deepesh Jain
Company Secretary

Encl: As above

Abans Enterprises Limited

Regd. Office: 36, 37, 38A, 3rd Floor, 227 Nariman Bhavan, Backbay Reclamation, Nariman Point, Mumbai-400021

☎ +91 22 68354100 📠 022 61790010 ✉ compliance@abansenterprises.com 🌐 www.abansenterprises.com

CIN: L74120MH1985PLC035243

S. P. Date & Associates

Company Secretaries

I/501 Pranay Nagar, Ram Mandir Road (Extn.), Near Vazira Naka, Borivali (West), Mumbai – 400 092
E-mail: shridate24@gmail.com; Mobile: +91 98209 37505

CERTIFICATE UNDER REGULATION 40 (9) OF
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

We have examined the Share Transfer Forms, Memorandum of Transfers, registers, files and other documents relating to **Abans Enterprises Limited** bearing **CIN: L74120MH1985PLC035243 (ISIN: INE365O01010)** having its registered office at 36/37/38A, 3rd Floor, 227, Nariman Bhavan Backbay Reclamation, Nariman Point, Mumbai - 400021 (hereinafter referred to as '**the Company**'), maintained by Purva Sharegistry (India) Private Limited, the Company's Registrar & Transfer Agents (**RTA**), pertaining to transfer and other requests received by the Company pertaining to certificate/s of equity shares, for the period from **1st April 2020 to 30th September 2020** (review period) for the purpose of issuing a Certificate under Clause 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date and the Listing Agreement entered into by the Company with **BSE Limited** and **Metropolitan Stock Exchange of India Limited**.

Based on the aforesaid examination and information provided by the officers of the Company and / its RTA, we hereby certify that;

- A) No Share Certificate (s) relating to the Share Transfer form(s) received during the period from 1st April 2020 to 30th September 2020 as entered in the Memorandum of Transfers have been issued within 30 days from the respective date of lodgment of each form except those rejected on technical grounds or transfers to be recorded after following procedure laid down in SEBI Circular No. SEBI/HO/MIRSD/DOS3/CIR/P/2018/139 dated November 06, 2018.**



S. P. Date & Associates
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B) No requests were received for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies.

For **S. P. Date & Associates**
Company Secretaries



CS S. P. Date

Proprietor

Membership No. A2018

CP No. 14247

Mumbai

October 22, 2020

UDIN: A002018B001035886