

V.B. Desai Financial Services Limited

Category I Merchant Banker - SEBI Registration No. INM 000002731

June 03, 2022

The Corporate Relations Department
The BSE Ltd.
P.J.Towers, Dalal Street,
Mumbai – 400 001

Dear Sirs,

Ref: Company Code No. 511110

Sub: Certificate under clause 40 (9) of Listing Obligations and Disclosure Requirements for the year ended 31st March 2022

In continuation to our letter dated 19th April 2022 and your email dated 1st June 2022, we enclose the rectified certificate under clause 40 (9) of Listing Obligations and Disclosure Requirements issued by Mr. N. Hariharan, Practicing Company Secretary for the year ended on 31st March 2022.

Kindly take the same on your record.

Thanking you,
Yours faithfully,
For V.B. DESAI FINANCIAL SERVICES LIMITED



Kamlesh M Gagvani
Company Secretary

Encl: As above

The Board of Directors
V.B. Desai Financial Services Limited
Cama Building, 1st Floor
24/26, Dalal Street,
Fort, Mumbai – 400 001

CERTIFICATE FOR THE HALF YEAR ENDED 31ST MARCH 2022

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **V.B. DESAI FINANCIAL SERVICES LIMITED** maintained by **PURVA SHAREREGISTRY (I) PVT. LIMITED** pertaining to transfer of equity shares of the company for the period from **1st April 2021** to **31st March 2022** for the purpose of issuing a Certificate as per Clause 40 (9) of the Listing Obligations and Disclosure Requirements as applicable to **V.B. DESAI FINANCIAL SERVICES LIMITED** with BSE and based on the information provided by the Company and by the Registrar and Share Transfer Agent of the Company, I hereby certify that the Company has delivered during year ended on **31st March 2022**:

- a) Share Certificate(s) relating to the deletion of name received during the period from **1st April 2021** to **31st March 2022** as entered in the Memorandum have been issued within fifteen days of the date of lodgement.
- b) No requests for transfer, transmission, subdivision, consolidation, renewal, exchange or endorsement of calls have received during the period under review.
- c) During the period under review, no share certificates in respect of requests for issue of duplicate certificates have been issued.
- d) Any other information, if applicable – Nil



N. Hariharan & Company
(Proprietor: N. H. Iyer, B.A., (Spl.), AICWA., FCS.)
Practising Company Secretary
FCS-559; CP No.: 7119

N. HARIHARAN
COMPANY SECRETARY
FCS 559 : CP 7119

Date : April 18, 2022
Place: Navi Mumbai