



**ALFRED HERBERT (INDIA) LTD.**

13/3, Strand Road, Kolkata - 700 001  
Telephone : 2226 8619, 2264 0106  
Fax : (033) 2229 9124  
E-mail : [kolkata@alfredherbert.com](mailto:kolkata@alfredherbert.com)  
Website : [www.alfredherbert.co.in](http://www.alfredherbert.co.in)  
CIN : L74999WB1919PLC003516

Bombay Stock Exchange Limited  
Phiroze Jeejeebhoy Towers, 25<sup>th</sup> Floor  
Dalal Street  
Mumbai 400 001

19<sup>th</sup> April, 2023

Dear Sirs,

**COMPLIANCE CERTIFICATE UNDER REGULATION 40(9)  
OF SEBI (LODR) REGULATIONS, 2015  
OUR SCRIP CODE NO. 505216**

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We enclose herewith Compliance Certificate under Regulation 40(9) dated 18<sup>th</sup> April'2023 issued by M/s Sweety Kapoor, Practising Company Secretary for year ended 31<sup>st</sup> March'2023 for your information and record.

Kindly acknowledge receipt.

Yours faithfully,  
For Alfred Herbert (India) Limited,

**Shobhana Sethi**  
Company Secretary and Chief Financial Officer

Encl: as above



**Sweety Kapoor**

Practising Company Secretary

📍 : Business Communication Centre,  
21, Parsee Church Street, Room No. 4  
Near - 18, Ezra Street, Kolkata - 700001  
☎ : 033-40083749  
📠 : 9830471414  
✉ : sweetykapoor53@rediffmail.com

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015**

**FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2023**

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 1<sup>st</sup> April, 2019.

Further, SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 has mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to issue of duplicate share certificate, claim from unclaimed suspense account, renewal/ exchange, endorsement, sub-division/ splitting, consolidation, transmission and transposition of share certificates.

Accordingly, on the basis of examination of the relevant documents relating to **M/s. Alfred Herbert (India) Limited ("the Company")** having its Registered office at 13/3, Strand Road, Kolkata - 700 001, West Bengal, maintained and provided electronically by their Registrar and Share transfer Agent **M/s. Maheshwari Datamatics Pvt. Ltd. ("RTA")** for the financial year 1<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023 ("Period") for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities Exchange Board of India ("SEBI") (Listing Obligations and Disclosure Requirements) Regulations, 2015 to the Company and based on the information provided, I hereby certify that:

a. There were no request relating to transfers received during the said period.

b. The Company has delivered/sent letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities and duplicate issue of share certificates received during the financial year ended 31st March, 2023, within the prescribed time from the respective date of lodgment.

As informed to me there were no requests relating to transposition of securities, sub-division (split), renewal, consolidation received during the said period.

c. Any other information as applicable- NIL.

Place: Kolkata  
Date: 18/04/2023



*Sweety Kapoor*  
(Sweety Kapoor)

Practising Company Secretary  
Membership No. FCS 6410, CP No.5738  
UIN: I2003WB399800  
PRCN: 660/2020  
UDIN: F006410E000134881