



RAJENDRA & CO.

COMPANY SECRETARIES

Rajendra R. Vaze

B.Com. LL.B., DBM, GCD, F.C.S.

Devdha Manzil, 2nd Floor, Maharshi Dadasaheb Rege Road, (Shivaji Park Road No.3), Dadar, Mumbai-400 028.

Tel.: 022 2445 0622 Cell : 9821116504 Email : cs.rajendra@yahoo.in

Secretarial Compliance Report of

ARROW GREENTECH LIMITED for the year ended 31/03/2021

We Rajendra and Co. Company Secretaries have examined :

- (a) All the documents and records made available to us and explanation provided by **ARROW GREENTECH LIMITED (CIN: L21010MH1992PLC069281)** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification

for the year ended 31/03/2021 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

CERTIFIED FILING CENTRE (CFC)
(APPROVED BY CENTRAL GOVT. UNDER THE DEPT OF COMPANY AFFAIRS)



- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 ;(**not attracted during the year under review**)
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014. (**not attracted during the year under review**)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;(**not attracted during the year under review**)
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 (**not applicable**)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993

and circulars/ guidelines issued thereunder :

and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

| Sr. No | Compliance Requirement(Regulations/ circulars guidelines including specific clause) | Deviations | Observations Remarks of the Practicing Secretary |
|--------|---|------------|--|
| 1 | NIL | NIL | NIL |

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued there under insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:





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| Sr. No | Action taken by | Details of Violation | Details of action taken e.g. fines warning letter debarment, etc | Observations/remarks of the Practicing Company Secretary, if any. |
|--------|-----------------|----------------------|--|---|
| 1 | NA | NA | NA | NA |

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

| Sr. No | Observations of the Practicing Company Secretary, in previous report | Observations made in the secretarial compliance report for the yearended... (The years are to be mentioned) | Actions taken by the listed entity if any | Comments of the Practicing Company Secretary, on the action taken by the listed entity |
|--------|--|---|---|--|
| 1 | NA | NA | NA | NA |

For Rajendra and Co.,
Company Secretaries


(CS Rajendra Vaze)
FCS No . 4247 C.P. No 1975



Place : Mumbai
Date : 18th June 2021
UDIN : F004247C000483704