



www.bnkcapital.com

**BNK CAPITAL MARKETS LTD.**

NBFC • FINANCIAL SERVICES • ADVISORY • DISTRIBUTION

**Date: 29<sup>th</sup> October, 2020**

**The Deputy General Manager,  
Department of Corporate Services,  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai - 400 001  
Security Code: 500069**

**The Secretary  
The Calcutta Stock Exchange Ltd  
7, Lyons Range  
Kolkata - 700 001  
Script Code: 10012048**

**Sub: Submission of Half-Yearly Certificate as per Regulation 40(9) of the SEBI  
(Listing Obligations And Disclosure Requirements) Regulations, 2015**

**Dear Sir,**

In compliance with Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 with the stock exchanges, please find attached herewith the compliance certificate from M/s AL & Associates, Practicing Company Secretaries (Ms. Priti Lakhota, ACS -21970, Partner), for the half year ended on 30th September, 2020.

Kindly acknowledge the same.

Thanking you.

**Yours Faithfully,  
For BNK Capital Markets Limited**

*Rina Singh,*  
**Rina Singh  
Secretarial Consultant**

**An ISO 9001 : 2015 and ISO 27001 : 2015 COMPANY**

Regd. Office : Mayfair Tower, 2, Palm Avenue, Kolkata-700019, (India), Telefax : +91-33 2280 0457, Tel. : +91-33 4602 2160-63,  
Mumbai Office : 1st Fl. 'Buona Casa', Homji Street, Sir P. M. Road, Fort Mumbai 400 001, Tel. : +91-22 2266 2584, 4004 8531  
B 105, Crystal Plaza, Opp. Infinity Mall, Oshiwara, Andheri West, Mumbai 400 053, Tel. : +91-22-26397965, 49720111  
CIN No. : L34202WB1986PLC040542 • RBI Regn. No. : B\_05.02574 • E-mail : corporate@bnkcapital.com



# A L & ASSOCIATES

Company Secretaries

24, Netaji Subhas Road, 4th Floor, Room No. 33, Kolkata - 700 001  
Ph. : 4007 4750, E-mail : [alassociates2014@gmail.com](mailto:alassociates2014@gmail.com)

## CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS FOR THE HALF YEAR ENDED SEPTEMBER 2020

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s BNK CAPITAL MARKETS LIMITED** maintained by them and their Share Transfer Agent, **M/s C B Management Services Private Limited** pertaining to transfer of equity shares of the company for the period from **01/04/2020** to **30/09/2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 and based on the information/explanation provided by the Company/STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification, we hereby certify that the Company has generally issued during the half year ended on 30.09.2020:

- A) Share Certificate(s) relating to valid Share transfer Deeds received during during the period from **01.04.2020** to **30.09.2020** as entered in the Register of Transfers within thirty days from the respective date of lodgment of such deed excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid request for sub-division , consolidation, renewal, exchange or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgment.

For AL & ASSOCIATES

*Priti Lakhota*  
Partner

Place: Kolkata  
Date: 28/10/2020

**PRITI LAKHOTIA**  
*Practicing Company Secretary*  
Membership No: 21970  
C.P. No.: 12790  
UDIN: A21970B001089674