



Date: 22/10/2020

The Head- Listing Compliance BSE Limited, Phiroze Jeejeebhoy Towers, Dalal Street, FortMumbai- 400001 Security Code: 511611	The Head- Listing Compliance National Stock Exchange of India Ltd. Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex Mumbai - 400051 Stock Code: DCMFINSERV	The Manager The Calcutta Stock Exchange Ltd. 7, Lyons Range, Murgighata, BBD Bagh, Kolkata, West Bengal- 700001 Scrip Code: 014032
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Subject: Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Ma'am,

We are appending below the Compliance Certificate for the half year ended **30th September, 2020** received from our Registrar and Share Transfer Agent, M/s. MCS Share Transfer Agent Limited, as required under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take it on record.

For DCM Financial Services Limited

Shantanu Deveshwar
Whole Time Director
DIN: 08268523

DCM FINANCIAL SERVICES LIMITED

CIN:L65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750

email ID: info@dfsionline.com

Website: www.dfsionline.com

COMPLIANCE CERTIFICATE

[Pursuant to regulation 7 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015]

We, the undersigned, hereby certify that all activities in relation to both physical and electronic share transfer facilities are maintained by Registrar and Share Transfer Agent i.e. MCS Share Transfer Agent Limited having their office at F- 65, Okhla Industrial Area, Phase-I, New Delhi – 110020. We confirm that MCS Share Transfer Agent Limited is registered with Securities and Exchange Board of India to act as Registrar and Share Transfer Agent.

Further, we wish to state that the Company i.e. DCM Financial Services Limited is adopting "April to March" as its Financial year and accordingly we are submitting the compliance certificate for half of the Financial year ended September 30, 2020 i.e. for the period from April 1, 2020 to September 30, 2020 pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

For DCM Financial Services Limited

For MCS Share Transfer Agent Limited

Somali Tiwari

Company Secretary

Date: 05.10.2020

Place: New Delhi



Narender Singh Negi
Authorized Signatory