



**Dhampur Sugar Mills Limited**

241, Okhla Industrial Estate, Phase III  
New Delhi - 110 020, India  
Tel: +91-11-3065 9400, 4161 2456  
Tele Fax: +91-11-2693 5697  
E-mail: corporateoffice@dhampur.com  
Website: www.dhampur.com

13.10.2020

To,  
Asst. Vice President  
National Stock Exchange of India Ltd.  
Exchange plaza, Bandra Kurla Complex  
Bandra (E)  
Mumbai - 400 051

Asst. General Manager  
Dept of Corp. Services,  
BSE Limited  
P.J. Towers, Dalal Street, Fort,  
Mumbai: 400001.

Dear Sir/Madam

**Ref: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Please find enclosed herewith Certificate as required under regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30<sup>th</sup> September, 2020.

You are requested to kindly take the information on record.

Thanking you,  
Yours faithfully,

For **Dhampur Sugar Mills Limited**

  
**Aparna Goel**  
**Company Secretary**  
**M. No.: 22787**



**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI(LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED SEPTEMBER 30, 2020**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents of Dhampur Sugar Mills Limited, having registered office at Dhampur, Distt Bijnore (UP) maintained by M/s Alankit Assignments Limited (Share Transfer Agent of Dhampur Sugar Mills Limited), having office at Jhandewalan Extn. New Delhi pertaining to transfer of equity shares of the company from April 1, 2020 to September 30, 2020 for the purpose of issuing Certificate as per Regulation 40(9)of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. Based on the information provided by the Share Transfer Agent, we hereby certify that the Share Transfer Agent has delivered during half year ended on September 30, 2020:

- A) Share Certificate(s) relating to the transfer of shares received during the period from April 1, 2020 to September 30, 2020 as entered in the Memorandum of Transfers, have been issued within stipulated time for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) During the period, No duplicate share certificates were issued.
- C) During the period, no consolidation of share certificates took place.
- D) During the period, no case for sub-division, renewal, exchange of certificates and endorsement for payment of call / allotment money.
- E) No other information

For GSK & Associates  
Company Secretaries  
FRN: P2014UP036000

  


Saket Sharma  
(Partner)  
C.P. No: 2565  
M. No: F4229  
Date: 12.10.2020  
Place: Kanpur  
UDIN:F004229B000918991

