

SWARAJ ENGINES LIMITED

Works:
Plot No. 2, Indl. Focal Point,
Phase-IX, S.A.S. Nagar
Distt. S.A.S. Nagar (Mohali)
(Near Chandigarh)



Tel.: 0172-2234941-47, 2234950

02/SP/EXCH
30th April, 2020

BSE Limited

Listing Department
P.J. Towers, 1st Floor,
Dalal Street, Fort,
Mumbai – 400 001
Email: corp.relations@bseindia.com

National Stock Exchange of India Limited

Capital Market-Listing, Exchange Plaza,
Bandra Kurla Complex,
Bandra (E),
Mumbai- 400051
Email: cmlist@nse.co.in

Scrip Code: 500407

Scrip Name: SWARAJENG

SUB: Certificate from Practicing Company Secretary u/r 40(9) of SEBI (LODR) Regulations 2015 for half year ended 31st March, 2020


Dear Sir,

Pursuant of Regulations 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith a certificate from M/s A.Arora & Co. Practicing Company Secretaries, for half year ended 31st March 2020.

Kindly take the above information on your record.

With regards,

For Swaraj Engines Limited



**(Rajesh K. Kapila)
Company Secretary**

Encl: As above

AJAY K. ARORA
LL.B, F.C.S.

GST: 04ADSPA8498H1Z3
A.ARORA & CO.
COMPANY SECRETARIES
&
INSOLVENCY PROFESSIONAL
S.C.O. 64-65, 1ST FLOOR,
SECTOR-17A, MADHAY MARG,
CHANDIGARH- 160017
PH: (O) 2701906,
Mobile : 98140 06492
E-MAIL: ajaykcs@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED 31ST MARCH, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Swaraj Engines Limited** maintained by M/s. MCS Share Transfer Agent Limited, Srivenkatesh Bhawan, F-65, 1st Floor, Okhla Industrial Area, Phase-1, New Delhi - 110020 pertaining to transfer of equity shares of the Company for the period from **1st October, 2019 to 31st March, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- During the half year ended on 31st March, 2020, all Share Certificates have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal or exchange excepting those rejected on technical grounds.



Date : 25.04.2020
Place : Chandigarh

AJAY K ARORA
C.P. No. : 993

UDIN: F002191B000178268