

## **UTTAM SUGAR MILLS LIMITED**

Corporate Office: A-2E, III Floor, CMA Tower, Sector-24, NOIDA-201 301 Uttar Pradesh, India Telephone: 0120-4525000 E-mail: uttamsugarnoida@uttamsugar.com

Date: 31,10.2022

National Stock Exchange of India Ltd. Listing Department

"Exchange Plaza", Bandra-Kurla Complex, Bandra (E), Mumbai – 400 051

Ref.:- Symbol - UTTAMSUGAR

B.S.E. Limited Listing Department

P.J. Towers, Dalal Street, Fort, Mumbai – 400 001 **Ref. – Scrip Code - 532729** 

Dear Sir/Madam,

Sub: Reporting of violations under SEBI (Prohibition of Insider Trading) Regulations, 2015

We are writing in reference to the Companies Code of Conduct to Regulate, Monitor and Report trading by Designated Persons and their Immediate Relatives read with Schedule B and Regulation 9 of the SEBI (Prohibition of Insider Trading) Regulations, 2015.

Please find enclosed herewith a report related to the violation of Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 by a Designated Person (Promoter Group), in the format prescribed by SEBI Circular SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020.

You are requested to take the same on record.

Yours faithfully,

For Uttam Sugar Mills Ltd.

(Rajesh Garg)

Joint Company Secretary & Compliance Officer

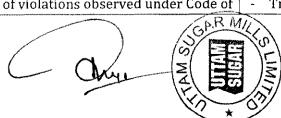
(FCS-5841)

Encl: as above

Registered Office: Village Libberheri, Tehsil Roorkee, Distt. Haridwar, Uttarakhand, India Website: www.uttamsugar.in, CIN No-L99999UR1993PLC032518

## Report by Uttam Sugar Mills Limited for violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulation, 2015 Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulation, 2015

S. No.	Particulars	Details
1.	Name of the Listed Company	Uttam Sugar Mills Limited
2.	Please tick the appropriate checkbox	Listed Company
	Reporting in capacity of:	
	☐ Listed Company	
and the second	□ Intermediary	
	☐ Fiduciary	
3.	A. Details of Designated Person (DP)	
	i. Name of DP	Mrs. Ranjana Chopra
The state of the s	ii. PAN of DP	AAFPC2296R
	iii. Designation of DP	Promoter Group
	iv. Functional role of DP	-
Auto and designed in the second secon	v. Whether DP is Promoter or belongs to	Yes
	Promoter Group	
	B. Reporting is for immediate relative of	DP
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA ·
	C. Details of transaction(s)	
	i. Name of the Scrip	Uttam Sugar Mills Limited
		BSE: 532729
		NSE: UTTAMSUGAR
	ii. No. of shares traded and value (Rs.)	Date: October 17, 2022
	(Date-wise)	Purchased 2 Equity Shares for Rs. 517.50
		Date: October 18, 2022
		Sold 1 Equity Share for Rs. 255.60
		D. dollah i
	D. In case the value of trade(s) is more the	an ks. 10 lakns in a calendar quarter
	i. Date of intimation of trade(s) by	27.10.2022
	concerned DP / director / promoter /	27.10.2022
	promoter group to Company under	
	regulation 7 of SEBI (PIT) Regulations, 2015	
	ii. Date of intimation of trade(s) by	31.10.2022
	Company to stock exchanges under	31.10.2022
	regulation 7 of SEBI (PIT) Regulations,	
A	2015  Details of violations observed under Code of	- Trading during closure of Trading Window
4.	Details of violations observed under code of	



	Conduct	- Contra Trade
		- Delay Intimation to Company
5.	Action taken by Listed Company	Action is pending and the same shall be
		intimated to the exchanges once decided.
6.	Reasons recorded in writing for taking	Violation of SEBI insider Trading Regulations.
	action stated above	
7.	Details of the previous instances of	N.A.
	violations, if any, since last financial year	
8.	If any amount collected for Code of Conduct v	
**************************************	i. Mode of transfer to SEBI - IPEF (Online/	N.A.
	Demand Draft)	
	ii. Details of transfer/payment	
	In case of Online:	
		Dataile
	Particulars	Details
,	Particulars Name of the transferor	Details
	Particulars  Name of the transferor  Bank Name, branch and Account number	Details N.A.
	Particulars  Name of the transferor  Bank Name, branch and Account number  UTR/Transaction reference Number	
	Particulars  Name of the transferor  Bank Name, branch and Account number  UTR/Transaction reference Number  Transaction date	
	Particulars  Name of the transferor  Bank Name, branch and Account number  UTR/Transaction reference Number	
	Particulars  Name of the transferor  Bank Name, branch and Account number  UTR/Transaction reference Number  Transaction date  Transaction Amount (in Rs.)	N.A.
	Particulars  Name of the transferor  Bank Name, branch and Account number  UTR/Transaction reference Number  Transaction date  Transaction Amount (in Rs.)  In case of Demand Draft (DD): Not Applicable	N.A.
	Particulars  Name of the transferor  Bank Name, branch and Account number  UTR/Transaction reference Number  Transaction date  Transaction Amount (in Rs.)  In case of Demand Draft (DD): Not Applicable  Particulars	N.A.
	Particulars  Name of the transferor  Bank Name, branch and Account number  UTR/Transaction reference Number  Transaction date  Transaction Amount (in Rs.)  In case of Demand Draft (DD): Not Applicabl  Particulars  Bank Name and branch	N.A.
	Particulars  Name of the transferor  Bank Name, branch and Account number  UTR/Transaction reference Number  Transaction date  Transaction Amount (in Rs.)  In case of Demand Draft (DD): Not Applicabl  Particulars  Bank Name and branch  DD Number	N.A.
	Particulars  Name of the transferor  Bank Name, branch and Account number  UTR/Transaction reference Number  Transaction date  Transaction Amount (in Rs.)  In case of Demand Draft (DD): Not Applicabl  Particulars  Bank Name and branch  DD Number  DD Date	N.A.  e  Details
	Particulars  Name of the transferor  Bank Name, branch and Account number  UTR/Transaction reference Number  Transaction date  Transaction Amount (in Rs.)  In case of Demand Draft (DD): Not Applicabl  Particulars  Bank Name and branch  DD Number	N.A.  e  Details

Yours faithfully,

For Ottam Sugar Mills Ltd R M/

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(Rajesh Garg)

Joint Company Secretary & Compliance Officer

(FCS - 5841)

Date: 31.10.2022

Place: Noida