



Transpek Industry Limited

Reg. Office:
4th Floor, Lilleria 1038
Gotri - Sevasi Road
Vadodara - 390021, Gujarat, (India)

Date: 23rd May, 2022

To,
BSE Limited
Listing Department,
P.J. Towers, Dalal Street,
Fort, Mumbai - 400 001

Sub: Annual Secretarial Compliance Report for the year ended 31st March, 2022

Dear Sir/Madam,

Pursuant to the provisions of Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith the Annual Secretarial Compliance Report of the Company for the year ended 31st March, 2022, issued by CS Vijay L. Vyas, Practicing Company Secretary on 19th May, 2022.

Kindly take the information on your record.

Thanking You,
Yours faithfully,
For Transpek Industry Limited

Alak D. Vyas
Company Secretary &
Compliance Officer
Alak/Richa



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**Secretarial Compliance Report
of**

TRANSPEK INDUSTRY LIMITED

4th Floor, Lilleria 1038, Gotri-Sevasi Road, Vadodara 390021
(CIN - L23205GJ1965PLC001343)

for the year ended 31st March, 2022

[Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

I, Vijay L. Vyas, Practising Company Secretary, have examined:

- all the documents and records made available to me and explanation provided by Transpek Industry Limited ("the listed entity"),
- the fillings / submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other documents / filling, as may be relevant, which has been relied upon to make this certification,

for the year ended on 31st march, 2022, in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contract (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, Circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars / guidelines issued thereunder, have been examined, include: -

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(The Company has not issued any capital during the period under report.)**
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(The Company has not done buy back of securities during the period under report.)**
- Securities and Exchange Board of India (Share based Employee Benefits) Regulations, 2014; **(The Company does not have any share-based employees' benefit scheme.)**



- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(The Company has not issued or listed any debt security during the period under report.)**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(The Company has not issued or listed any Non-Convertible and Redeemable Preference Shares during the period under report.)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars / guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review period:

- (a) The listed entity has complied with the provision of the above Regulations and circulars / guidelines issued thereunder, (taking into account the relaxations and extension of time granted by SEBI from time to time to the Listed Companies in view of the current Covid 19 pandemic situation), except in respect of matters specified below:

Sr. No.	Compliance Requirement (Regulation / Circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	Regulation 44(3) of SEBI (LODR) Regulations, 2015	Non-submission of the voting results within a period of two working days provided under this regulation	The Company submitted the Voting Results of the Postal Ballot on the third working day

- (b) The listed entity has maintained proper record under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.
- (c) The following are the details of actions taken against the listed entity / its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and Circulars/ guidelines issued thereunder:





Sr. No.	Action Taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practising Company Secretary, if any.
1	BSE Ltd.,	Regu. 44(3) of SEBI (LODR) Regulations, 2015. Submission of Voting Results on third Working day instead of within two working days as per SOP.	Fine Rs.10000/-	The Company has paid the Fine.

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: **No Observations were made in the previous reports.**

Sr. No.	Observations of the Practising Company Secretary in the previous reports	Observations made in the Secretarial Compliance report for the year ended----	Action Taken by listed entity	Comments of the Practising Company Secretary on Action Taken by listed entity
-				- Not Applicable-

(e) The Company has complied with the Clauses 6(A) and 6(B) of SEBI Circular No.CIR/CFD/CMD1/114/2019 dated October 18, 2019.

Place: Vadodara
Date: 19th May, 2022


CS Vijay L Vyas
Practising Company Secretary
FCS - 1602; CoP - 13175
Peer Reviewed No.1836/22
Unique Code No. I2014GJ1154300
ICSI UDIN: F001602D000350874

