

Ref: MSSSL/024/2020-2021

October 12, 2020

The Secretary  
BSE Limited  
Phiroze Jeejee Bhoy Towers,  
Dalal Street, Mumbai- 400 001

Dear Sir/Madam,

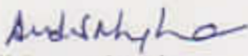
Scrip Code : 509760

**Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements Regulations 2015**

We are herewith submitting the Compliance Certificate under Regulation 7(3) of the SEBI Obligations and Disclosure (Listing Requirements) Regulations, 2015 for the half year ended 30<sup>th</sup> September 2020 duly signed on behalf of the Company and Share Transfer Agent, Link Intime India Private Limited.

Thanking you,

Yours faithfully,  
For MODERN SHARES AND STOCKBROKERS LIMITED

  
Anil S Manghnani  
Whole Time Director

DIN No : 00012806

09<sup>th</sup> October, 2020

To,  
**BSE Limited**  
1<sup>st</sup> Floor, New Trading Ring,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400001

Script Code: 509760

Dear Sir/Madam,

**Sub: Compliance Certificate – Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30<sup>th</sup> September, 2020.**

**Unit: Modern Shares and Stockbrokers Limited**

As per the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. We hereby confirm that activities of the Company viz. Modern Shares and Stockbrokers Limited, in relation to both physical and electronic share transfer facility during the period 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 (both days inclusive) are maintained by the Company's Registrar & Transfer Agent viz. Link Intime India Private Limited, which is registered as Registrar & Transfer Agent in category-I with the Securities and Exchange Board of India vide Registration Number: INR000004058.

Thanking you,

For Modern Shares and Stockbrokers Limited

VIBHA  
DINESH  
MEHTA  
Vibha Axit Gandhi

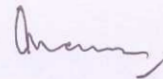
Digitally signed by VIBHA DINESH MEHTA  
DN: cn=VIBHA DINESH MEHTA, o=MODERN SHARES AND STOCKBROKERS LIMITED, email=vibha@msb.com, c=IN  
c=IN, cn=VIBHA DINESH MEHTA, o=MODERN SHARES AND STOCKBROKERS LIMITED, email=vibha@msb.com, c=IN  
Date: 2020.10.09 11:15:36 +05'30'

Company Secretary and Compliance Officer

M.No. A40143

ECSIN No. EA040143A000047843

For Link Intime India Private Limited



Authorised Signatory

