

NALWA SONS INVESTMENTS LIMITED

Regd. Office: 28, Najafgarh Road,
Moti Nagar Industrial Area,
Delhi – 110 015, India
CIN: L65993DL1970PLC146414

October 25, 2019

BSE Ltd.
Corporate Relationship Department,
1st Floor, New Trading Ring,
Rotunda Building, P J Towers,
Dalal Street, Fort, Mumbai – 400 001
022 - 2272 3121, 2037, 2041,
3719, 2039, 2272 2061
corp.relations@bseindia.com
Security Code No.: 532256

National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor,
Plot no. C/1, G Block
Bandra-Kurla Complex, Bandra(E),
Mumbai-400051
022 -2659 8237, 8238, 8347, 8348
cmist@nse.co.in

Security Code No.: NSIL

Sub: Compliance Certificate under Reg. 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

In terms of the provisions of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are forwarding herewith a Certificate dated 25th October, 2019, from Mr. Rajesh Garg of M/s Rajesh Garg & Co., Company Secretaries for the half year ended on 30th September, 2019.

You are requested to kindly take the same on record.

Thanking you.

Yours sincerely,
For **Nalwa Sons Investments Limited**


(Deepak Garg)
Chief Financial Officer



Encl.: As mentioned above

RAJESH GARG & CO.
Company Secretaries

Office: 1226, Urban Estate -II
Near Dabra Chowk
Hisar (Haryana)
M-98120-10694

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2019

The Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and its press release on 3rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 1st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after deadline on 1st April, 2019.

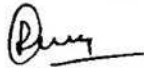
Accordingly, I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Nalwa Sons Investments Limited (the Company) maintained by M/s Link Intime India Pvt. Limited, Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer deeds which were lodged prior to 1st April, 2019 and re-lodged thereafter, for transfer of equity shares of the Company for the period from 1st April, 2019 to 30th September, 2019 for the purpose of issuing a certificate as per Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, and to the best of my knowledge, I hereby certify that:

- A) (i) the Company has delivered during half year ended on 30th September, 2019 share certificates relating to transfer deeds lodged prior to 1st April, 2019 and re-lodged thereafter, received during the period from 1st April, 2019 to 30th September, 2019, within thirty days from the respective date of lodgment of each deed excepting those rejected on technical grounds;
- (ii) the Company has delivered during half year ended on 30th September, 2019 share certificates in respect of requests relating to sub-division (split), renewal and consolidation received during the period from in relation to the period from 1st April, 2019 to 30th September, 2019, within thirty days from the respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Any other information, if applicable: Nil

Place: Hisar
Date: October 25, 2019
UDIN=F005960A000168727



For Rajesh Garg & Co.


Rajesh Garg
Company Secretary
C.P. No. 4093

