

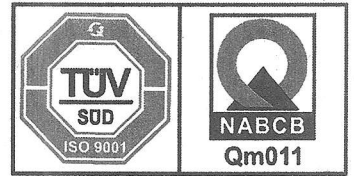


ALFA TRANSFORMERS LTD.

CIN-L311020R1982PLC001151

Regd. Office : Plot No. 3337,
Mancheswar Industrial Estate
Bhubaneswar-751010, Odisha, India

Tel.: 91-674-2580484
Email : info@alfa.in / Sales@alfa.in
URL : http://www.alfa.in



ISO 9001 : 2015
Certificate Registration No. 99 100 11745/02

Date: 20th May, 2022

To

**The Asst. General Manager
Department of Corporate Services,
Bombay Stock Exchange Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai 400 001**

Sub.: Submission of Annual Secretarial Compliance Report for the Financial Year ended 31st March, 2022

Ref.: 1) Regulation 24A of SEBI (LODR) Regulations, 2015

2) BSE SCRIP CODE: 517546 – Alfa Transformers Limited

Dear Sir/Madam,

With reference to the above, captioned subject, we enclose herewith the copy of Annual Secretarial Compliance Report for the financial year ended on 31st March, 2022 issued by M/s. Saroj Ray & Associates, Secretarial Auditors of the Company pursuant to Regulation 24A of the SEBI (LODR) Regulations, 2015.

Kindly take this on record.

Thanking you.

Yours faithfully

For Alfa Transformers Limited

**Amarnath Tripathy
Company Secretary**

Encl: As Below

**Secretarial Compliance Report of M/s. Alfa Transformers Limited
for the year ended 31st March, 2022.**

We, M/s. Saroj Ray & Associates have examined:

- (a) all the documents and records made available to us and explanation provided by M/s. Alfa Transformers Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) Any other document/filing, as may be relevant, which has been relied upon to make this certification.

for the year ended 31st March, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable during the Financial year)**
- (c) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **(Not Applicable during the Financial year)**
- (d) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;
- (e) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/guidelines issued thereunder and based on the above examination, we hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
-	-	-	-

- (b) The Listed entity has maintained proper records under the provisions of the above Regulations and circulars /guidelines issued thereunder insofar as it appears from our examinations of those records.



(c) The following are the details of actions taken against the listed entity/its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and Circulars/guidelines issued there under.

Sr. No.	Actions Taken by	Details of Violation	Details of Action Taken E.g. fines, warning Letter, debarment etc.	Observations/Remarks of the Practicing Company Secretary, if any
-	-	-	-	-

(d) The Listed entity has taken the following actions to comply with the observations made in previous reports.

Sr. No.	Observations of the Practicing Company Secretary in the Previous Reports	Observations made in the Secretarial Compliance Report for the Year ended 31-03-2022	Actions Taken by the Listed entity, if Any	Comments of the Practicing Company Secretary on the actions taken by the Listed Entity
-	-	-	-	-

Place: Bhubaneswar
Date: 19.05.2022



Signature: *Ganeshwar Pradhan*
Name of the Practicing
Company Secretary: Saroj Kumar Ray
ACS No: 57,684, CP No: 24,284
UDIN: A057684D000347787