



Date: April 13, 2023

The Manager
Department of Corporate Services
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai-400 001

The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Kolkata - 700 001

Dear Sir/Madam,

We enclose herewith the Certificate as required under Regulation 40(10) of SEBI (LODR) Regulations, 2015 issued by Gaurav Arora, Company Secretary dated April 13, 2023 for the year ended 31.03.2023.

Kindly find the above in order and acknowledge the same.

Thanking you,

For Aravali Securities and Finance Limited

Ruchi Shrivastava
Company Secretary



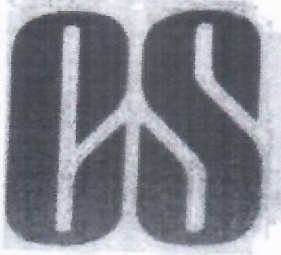
Encl: As above

ARAVALI SECURITIES & FINANCE LIMITED

REGD. OFFICE : RIDER HOUSE, Ground Floor, Plot No. 136, Sector-44, Gurgaon-122003

Phone : +91-124-4284578/4284580/4284582

CIN : L67120HR1980PLC039125 | Website: www.aravalisecurities.com | Email : info@aravalisecurities.com



Gaurav Arora & Co. Company Secretaries

Office No. G-12 G/F Plot No. H-7 Aggarwal Plaza,
Netaji Subhash Place, New Delhi-110034

gcs-gauravarora@gmail.com

+91 9911648632

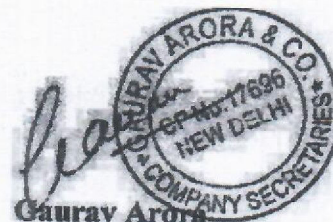
CERTIFICATE UNDER CLAUSE 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE YEAR ENDED 31ST MARCH, 2023

I Gaurav Arora, Practicing Company Secretary, have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ARAVALI SECURITIES AND FINANCE LIMITED** maintained by **SKYLINE FINANCIAL SERVICES PRIVATE LIMITED**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from 1st April, 2022 to 31st March, 2023 for the purpose of issuing certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company / RTA, I hereby certify that during the period from 1st April, 2022 to 31st March, 2023:

- a) The Company has not received any Share Transfer requests during the period from 1st April, 2022 to 31st March, 2023. Hence, issue of Share Certificate(s) relating to the Share Transfers within 15 days from the respective date of lodgement of each deed is not applicable and therefore, no Share Certificates relating to Share Transfer Deeds were issued; and
- b) There were no cases sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 1st April, 2022 to 31st March, 2023. Hence, issue of Certificates within 30 days from the said sub-division, consolidation, etc. during the Financial Year ended 31st March, 2023 is not applicable and therefore, no Share Certificates for the same were issued.

GAURAV ARORA
Digitally signed by
GAURAV ARORA
Date: 2023.04.13
12:39:12 +05'30'



Gaurav Arora
(Practicing Company Secretary)

UDIN: A048327E000081987

Place: New Delhi

Date: 13.04.2023