



23rd April 2020

To,

**National Stock Exchange of India Limited
Listing Dept.
Exchange Plaza, Bandra Kurla Complex
Bandra(East), Mumbai-400051**

**BSE Limited
Listing Dept.
P.J.Tower, Dalal Street
Fort, Mumbai-400001**

Dear Sirs,

Subject: Compliance Certificate - Regulation 7 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Stock Code/Symbol: 532737 / EMKAY

As per the requirement of Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained during the period 1st October, 2019 to 31st March , 2020 (both days inclusive) by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number : INR000004058.

Thanking you,

Yours Faithfully,

For Emkay Global Financial Services Limited

For Link Intime India Private Limited

**Sd/-
B. M. Raul
Company Secretary & Compliance Officer**

**Sd/-
Ashok Shetty
Vice President - Corporate Registry**



Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Birla Centurion, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299 Fax: +91 22 6629 9105
Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel: +91 22 6612 1212 Fax: +91 22 6612 1299 www.emkayglobal.com CIN - L67120MH1995PLC064899

EMKAY GLOBAL FINANCIAL SERVICES LIMITED