



**April 12, 2024**

**BSE Limited**

Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai – 400 001

**National Stock Exchange of India Limited**

Exchange Plaza, Bandra Kurla Complex  
Bandra (East)  
Mumbai – 400 051

**Scrip Code: 544008**

**SYMBOL: MAXESTATES**

**Sub: Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir/Madam,

Please find enclosed herewith the certificate received from M/s. Sanjay Grover & Associates, Company Secretaries, in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year from October 30, 2023 (with effect from the relevant date from which equity shares of the Company were listed/admitted for trading) to March 31, 2024.

This is for your information and records.

Yours faithfully,

**For Max Estates Limited**

**Abhishek Mishra**

**Company Secretary & Compliance Officer**

**Encl: a/a**

**Max Estates Limited**

Corporate Office: Max Towers, L-20, C-001/A/1, Sector-16B, Noida-201301, Uttar Pradesh, India, | P: +91 120-4743222  
Regd Office: 419, Bhai Mohan Singh Nagar, Village Railmajra,  
Tehsil Balachaur, Dist. S.B.S. Nagar (Nawanshahr), Punjab 144 533, India

Email : [secretarial@maxestates.in](mailto:secretarial@maxestates.in) | Website : [www.maxestates.in](http://www.maxestates.in) | CIN: L70200PB2016PLC040200

# SANJAY GROVER & ASSOCIATES

## COMPANY SECRETARIES

B-88, 1<sup>ST</sup> Floor, Defence Colony, New Delhi – 110 024  
Tel.: (011) 4679 0000, Fax: (011) 4679 0012  
e-mail: [contact@cssanjaygrover.in](mailto:contact@cssanjaygrover.in)  
Website: [www.cssanjaygrover.in](http://www.cssanjaygrover.in)

To,  
**Max Estates Limited**  
419, Bhai Mohan Singh Nagar  
Village Railmajra, Tehsil Balachaur,  
Nawan Shehar, Punjab-144533

**Sub: Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (herein after referred as Listing Regulations)**

We have examined the Registers, files and other documents related to **Max Estates Limited (CIN: L70200PB2016PLC040200)** ('the Company') maintained by **MAS Services Limited ('the RTA')** for the financial year from **October 30, 2023** (with effect from the relevant date from which equity shares of the Company were listed/admitted for trading) to **March 31, 2024** for the purpose of issuing the Certificate under Regulation 40(9) of the Listing Regulations as equity shares of the Company are listed on National Stock Exchange of India Limited and BSE Limited. Based on the information provided by the Company/RTA, we hereby certify that:-

- A) no request was received for transfer of equity shares by the Registrar and Share Transfer Agent of the Company (since the entire shareholding of the Company has been in the dematerialized form).
- B) no request was received for sub-division, consolidation, renewal or exchange of equity shares by the Registrar and Share Transfer Agent of the Company (since the entire shareholding of the Company has been in the dematerialized form and no re-materialization of any shares has taken place).



**SANJAY GROVER & ASSOCIATES**

C) there was no requirement for endorsement for payment of calls / allotment monies.

For **Sanjay Grover & Associates**  
Company Secretaries  
Firm Registration No.: P2001DE052900  
Peer Review Certificate No.:4268/2023



Vijay K Singhal

**Vijay K Singhal**

Partner

ACS No.21089

CP No.10385

UDIN.: A021089F000101961

Date: 12<sup>th</sup> April, 2024

Place: New Delhi