

NWML/SEC/2025/33

June 15, 2024

The Manager,  
Listing Department,  
**BSE Limited**,  
Phiroze Jeejeebhoy Tower,  
Dalal Street,  
Mumbai - 400 001.  
**BSE Scrip Code: 543988**

The Manager,  
Listing Department,  
**National Stock Exchange of India Ltd.**,  
Exchange Plaza, 5 Floor, Plot C/1, G Block,  
Bandra - Kurla Complex, Bandra (E),  
Mumbai - 400 051.  
**NSE Symbol: NUVAMA**

**Subject: - Intimation under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir(s) / Madam(s),

Pursuant to Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), we would like to inform you that the Securities and Exchange Board of India (SEBI) has issued notice of summary settlement for violation of Regulation 28(3) of SEBI (Issue and Listing of Non-Convertible Securities) Regulations, 2021 and Regulation 13 read with clause 3 and 4 of Schedule III of SEBI (Merchant Bankers) Regulations, 1992, where the Company has an option to accept the penalty of Rs. 7.50 lakhs, levied by filing the settlement application with SEBI.

In case the Company does not accept the penalty levied, SEBI may initiate specified proceedings.

We would like to state that the said notice does not have any material impact on the financials, operations, or other activities of the Company.

Company is in the process of evaluating the option and will take necessary steps in due course.

Kindly take the same on record.

Thanking you,

Yours faithfully,

**For Nuvama Wealth Management Limited  
(formerly known as Edelweiss Securities Limited)**

**Sneha Patwardhan  
Company Secretary and Compliance officer**