

SEC/LODR/25/2023-24

April 24, 2023

The Manager The National Stock Exchange of India Limited Exchange Plaza, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051	The Manager Department of Corporate Services BSE Limited Phiroze Jeejeebhoy Towers, Floor 25, Dalal Street, Mumbai- 400 001
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Ref.: Scrip Symbol: FEDERALBNK/Scrip Code: 500469

Dear Madam/ Sir,

Sub: - Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Please find enclosed certificate dated April 24, 2023 for the financial year ended March 31, 2023, from Mr. CS Puzhankara Sivakumar of SEP & Associates, Practicing Company Secretaries.

The certificate has been issued in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended.

This is for your information and records.

Thanking you,

For The Federal Bank Limited

Samir P Rajdev
Company Secretary

Partners:

CS Puzhankara Sivakumar. M.com, FCMA, FCS
CS Syam Kumar R. BSc, FCS, LLB, IP
CS Madhusudhanan E.P. M.com, FCMA, FCS, IP
CS Anju Panicker. BA, LLB (Hons.), ACS

Reg. Office:

Building No. C.C 56/172
K.C. Abraham Master Road,
Panampilly Nagar, Kochi-682036
0484 4873636/ 4874242

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE
FINANCIAL YEAR ENDED 31ST MARCH 2023**


We have examined the relevant records, registers, files and all other documents of **M/s. The Federal Bank Ltd. ("the Bank")**, having its Registered office at **Federal Towers, P B No. 103, Always, Ernakulam, Kerala- 683101**, maintained by **Integrated Registry Management Services Private Limited**, 2nd Floor, Kences Towers, No, I, Ramakrishna Street, North Usman Road, T Nagar Chennai – 600017, the Registrar and Transfer Agent (RTA) of the Bank for the period from **1st April, 2022 to 31st March, 2023** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Bank, hereby certify that:

- A) As the transfer of shares held in physical form has been barred by SEBI, the Board of Directors/Stakeholders Relationship Committee of the Bank have not processed any Share Transfer requests and consequently there arose no necessity for entry in the Memorandum of Transfers and issue of Share Certificate(s) thereof during the said period.
- B) The Bank has delivered letters of confirmation as per SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January 2022, for execution of transmission of securities and for the request of duplicate certificate received during the financial year ended 31st March 2023, within the prescribed time from the respective date of lodgment.
- C) As informed to us, there were no request for transposition, sub-division (split), consolidation, renewal, exchange and endorsement of calls / allotment monies have been received by the Bank during the said period.
- D) Any other information, if applicable: **NIL**

For SEP & Associates

Company Secretaries

(Peer Review No. 2763/2022)

PUZHANKAR  Digitally signed by
PUZHANKARA
SIVAKUMAR
A SIVAKUMAR Date: 2023.04.24 11:55:30
+05'30'

CS Puzhankara Sivakumar

Managing Partner

M No.: F3050 COP: 2210

Date: 24.04.2023

Place: Kochi

UDIN: F003050E000174243