



Ind-Swift LABORATORIES LIMITED

(A Recognised Export House)

Regd. Office : S.C.O. 850, Shivalik Enclave, NAC, Manimajra, Chandigarh - 160 101
Phones : ++ 91 - 172-2730503, 2730920, Fax ++ 91 - 172 - 2730504, 2736294
E-mail : info@indswiftlabs.com Website : www.indswiftlabs.com
CIN No. L24232CH1995PLC015553



Ref: ISLL:CH:2023

Date: 9th October, 2023

**The President
Corporate Relationship Department
BSE Limited
Phiroze Jeejeebhoy Towers,
25th Floor, Dalal Street,
Mumbai 400 001**

**The Vice President,
Listing Compliance Department,
National Stock Exchange of India Limited,
Exchange Plaza, 5th Floor
Plot No. C/2, G-Block,
Bandra Kurla Complex, Bandra (E),
Mumbai 400 051**

**ISIN: INE915B01019, INE915B07024
BSE Scrip Code: 532305, 957989**

NSE Symbol: INDSWFTLAB

Sub- Reporting of Violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Ref: SEBI circular no. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020 read with SEBI circular no. SEBI/HO/GSD/TAD/P/CIR/2023/149 dated September 4, 2023

Dear Sir/Ma'am,

This is to inform you that in terms of the SEBI (Prohibition of Insider Trading) Regulations, 2015 ("the SEBI Regulations"), Code of Practices and Procedures for Prevention of Insider Trading and Code of Conduct & Fair Disclosure of Unpublished Price Sensitive Information ("Company Code") and SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, the details of violation of the SEBI Regulations and Company Code by the Designated Person along with the action taken by the Company thereon is enclosed as "Annexure A" to this letter.

Kindly take the above information on record.

Thanking you,

For **IND-SWIFT LABORATORIES LTD.**

**PARDEEP VERMA
VP-CORPORATE AFFAIRS &
COMPANY SECRETARY**

Encl: As above



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Annexure A

Reporting of Insider Trading violation under Regulation 9(1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

S. No	Particulars	Details	
1	Name of the listed entity	Ind-Swift Laboratories Limited	
2	Please tick the appropriate box Reporting in the capacity of: Listed entity <input checked="" type="checkbox"/> Intermediary Fiduciary	Listed Entity	
3	A. Details of Designated Person (DP)		
	(i) Name of the DP	Dr. Gajendra Singh	Dr. Pradeep Kumar Goel
	(ii) PAN of the DP	AVUPS2974A	AHRPG3636N
	(iii) Designation of DP	Senior GM	General Manager
	(iv) Functional Role of DP	Process & Development, Derabassi Plant	Business Development
	(v) Whether DP is Promoter or belongs to Promoter Group	No	No
	B. If Reporting is for immediate relative of DP		
	(i) Name of the immediate relative of DP	NA	NA
	(ii) PAN of the immediate relative of DP	NA	NA
	C. Details of transaction(s)		
	(i) Name of the scrip	Ind-Swift Laboratories Limited NSE- INDSWFTLAB BSE- 532305	Ind-Swift Laboratories Limited NSE- INDSWFTLAB BSE- 532305
	(ii) No of shares traded and value (Rs.) (Date- wise)	Sold 481 Shares amounting to Rs. 59,000 on 06/09/2023	Sold 1500 Shares amounting to Rs. 1,35,000 on 12/09/2023
	D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter: -		
	(i) Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	NA	NA
	(ii) Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA	NA



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4	Details of violations observed under Code of Conduct	The Designated Person sold the equity shares of the Company during the period when its trading window was closed internally for the Company's employees.	The Designated Person sold the equity shares of the Company during the period when its trading window was closed internally for the Company's employees.
5	Action taken by Listed company	The Audit Committee is empowered to take action on the violations of Insider Trading Regulations. A show cause notice was issued immediately when the violation came to the knowledge of the Compliance Officer. An opportunity of being heard was provided. Accordingly, the Audit Committee imposed a penalty of Rs. 3000 which was paid by the violator within 7 days from the date of issue penalty and warning letter.	The Audit Committee is empowered to take action on the violations of Insider Trading Regulations. A show cause notice was issued immediately when the violation came to the knowledge of the Compliance Officer. An opportunity of being heard was provided. Accordingly, the Audit Committee imposed a penalty of Rs. 5000 which was paid by the violator within 7 days from the date of issue penalty and warning letter.
6	Reasons recorded in writing for taking action stated above	An explanation was sought from Dr. Gajendra Singh by issuing a Show Cause Notice to him. In reply of which, he admitted for the violation of Insider Trading Regulations and Company's Code on Insider Trading and the same was done due to family emergency.	An explanation was sought from Dr. Pradeep Kumar Goel by issuing a Show Cause Notice to him. In reply of which, he admitted for the violation of Insider Trading Regulations and Company's Code on Insider Trading and the same was not done with malafide intention but out of necessity due to urgency of funds.
7	Details of the previous instances of violations, if any, since last financial year	NA	NA
8	If any amount collected for Code of Conduct violation(s):	Rs. 3000/- has been collected from Dr. Gajendra Singh as penalty towards the violations of the Insider Trading Code of the Company and transferred to the IPEF Account of the SEBI.	Rs. 5000/- has been collected from Dr. Pradeep Kumar Goel as penalty towards the violations of the Insider Trading Code of the Company and transferred to the IPEF Account of the SEBI.
9	Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Penalty amount has been transferred to IPEF account in the manner specified in the SEBI circular no. SEBI/HO/GSD/TAD/P/CIR/2023/149 dated September 4, 2023. An E-Receipt of the same is attached for your reference.	Penalty amount has been transferred to IPEF account in the manner specified in the SEBI circular no. SEBI/HO/GSD/TAD/P/CIR/2023/149 dated September 4, 2023. An E-Receipt of the same is attached for your reference.
10	Any other relevant information	Nil	Nil

Yours faithfully,
For IND-SWIFT LABORATORIES LTD.

PARDEEP VERMA
VP-CORPORATE AFFAIRS &
COMPANY SECRETARY
PAN: ABTPV7371B
Email Id: pardeep.verma@indswiflabs.com



Transaction ID :231007165871912

Date :07/10/2023



Thank you, Your payment towards SEBI INVESTOR PROTECTION AND EDUCATION FUND amounting to Rs. 8005.00 was successful through NET BANKING paymode.

Payment Details		Payer Details	
Amount	8000	Name Of The Payer	Ind Swift laboratories Ltd
Convenience Fee	4.24	Payment For	Self
GST	0.76	Pls Specify Name If Others	NA
Total Bill Amount	8005.00	Pan No Of Payer	AAACI6306G
		Mobile Number	9779984114
		Email	INVESTOR@INDSWIFTLABS.COM
		Name Of The Payers Company	Ind Swift laboratories Ltd
		Purpose Of Payment	SEBI PIT Regulations 2015
		Others Pls Specify	NA
		Sebi Order No If Any	NA

Remarks If Any	NA
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Contact Us

ibcmsdesk@icicibank.com