

# DB (International) Stock Brokers Ltd.

- NSE&BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- NSE BSE MCX ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL IPO, MUTUAL FUND & BONDS

Office: 114, New Delhi House, 27 Barakhamba Road, New Delhi-110001

Tel.:011-43606162 Website: [www.dbonline.in](http://www.dbonline.in) E-Mail: [compliance@dbonline.in](mailto:compliance@dbonline.in)

CIN: L67120GJ1992PLC121278

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Date: 15.04.2021

To,  
The Manager,  
Listing Department,  
National Stock Exchange of India Ltd.  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex  
Bandra (E)  
Mumbai - 400 051  
NSE Symbol: DBSTOCKBRO

To,  
The Manager,  
Department of Corporate Services,  
BSE Limited,  
Phiroze Jeejeebhoy Towers Dalal Street  
Mumbai- 400001

Scrip Code: 530393

**Sub: Compliance Certificate under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March 2021**

Dear Sir/Madam,

In Pursuant to Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are sending herewith a Certificate, duly signed by Abhipra Capital Limited, Registrar and share transfer Agent and the Compliance Officer of DB (International) Stock Brokers Limited, for the half year ending on 31.03.2021 in compliance of Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for your information and records.

Thanking You

Yours faithfully,  
For & on behalf of  
DB (International) Stock Brokers Limited

For D. B. (International) Stock Brokers Ltd.



Managing Director

**Shiv Narayan Daga**  
Managing Director  
DIN: 00072264  
Encl: As above

**ABHIPRA CAPITAL LIMITED**

(Category I Share Transfer Agents)

A-387, Dilkhush Industrial Area, G T Karnal Road, Azadpur, Delhi - 110033,  
Tel. 91-11-42390909, Email:info@abhipra.com

**Compliance Certificate for the half year ended 31.04.2021**

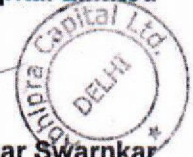
Pursuant to Regulation 7(3) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility are maintained by the Company's Registrar & Share Transfer Agent M/s. Abhipra Capital Limited, which is a SEBI approved category-I, Registrar & Share Transfer Agent, registered with Securities and Exchange Board of India, having Registration No.INR000003829.

**For DB (International) Stock Brokers Limited**



**Company Secretary**  
Date: 06.04.2021

**For Abhipra Capital Limited**



**Ashok Kumar Swarnkar**  
Authorised Signatory