

KPL/2024-25/BSE
12.04.2024



Bombay Stock Exchange Ltd. Phiroze Jeejeebhoy Towers 25th Floor, Dalal Street MUMBAI - 400 001	National Stock Exchange Of India Ltd. Exchange Plaza 5th Floor Plot No.C/1, G Block Bandra Kurla Complex Bandra (E) MUMBAI - 400 051
Scrip Code : 530299	Scrip Code : KOTHARIPRO

Dear sir,

**Re : SUBMISSION OF COMPLIANCE CERTIFICATE UNDER
REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATION, 2015 (LISTING
REGULATIONS)**

With reference to the captioned subject we are attaching herewith a Compliance Certificate from a practising Company Secretary in respect of despatch of Share Certificate(s) after transfer of shares of our Company for the year ended March, 2024.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully
for **KOTHARI PRODUCTS LTD.**

(RAJ KUMAR GUPTA)
CS & COMPLIANCE OFFICER
FCS - 3281

Regd. Off.: "Pan Parag House", 24/19, The Mall, Kanpur - 208 001 (INDIA)
Phone : +91 512 2312171-72-73-74
E-mail: info@kothariproductions.in & kothari@kothariproductions.in
CIN No. - L 16008 UP 1983 PLC 006254

E

ADESH TANDON & ASSOCIATES

COMPANY SECRETARIES

Adesh Tandon
FCS, LL.B., B.Com., AAIMA

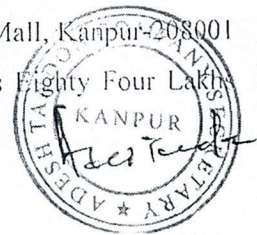
811, 8th Floor, KAN Chambers,
14/113, Civil Lines, Kanpur - 208 001 (U.P.)
Tel. : 0512-2332397 • Mobile : +91-9839100709
E-mail : adesh.tandon11@gmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATION, 2015 FOR THE YEAR ENDED MARCH 31, 2024.

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated June 08th, 2018 and vide its press release dated December 03rd, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 01st, 2019. Further, SEBI vide its press release dated March 27th, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01st, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of all the relevant documents and to the best of our information and explanation given to us relating to Shares of **KOTHARI PRODUCTS LIMITED** ("the Company"), having its registered office at Pan Parag House, 24/19, The Mall, Kanpur-208001 and paid up share capital of Rs. 29,84,38,650/- (Rupees Twenty Nine Crores Eighty Four Lakh Thirty Eight Thousand Six Hundred and Fifty only)



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COMPANY SECRETARIES

Adesh Tandon
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divided into 2,98,43,865 (Two Crores Ninety Eight Lakhs Forty Three Thousand Eight Hundred and Sixty Five only) equity shares of Rs. 10/- (Rupees Ten only) each, maintained and provided electronically by ALANKIT ASSIGNMENTS LIMITED, Registrar and Transfer Agent (RTA) of the Company, pertaining to period ending on March 31st, 2024 for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A. The Company has delivered during year ended on March 31st, 2024 share certificates/letter of Confirmation according to the SEBI'S operational guidelines in respect of request(s) of transmission/name deletion/change of name and for issue of duplicate shares certificates received during financial year ended on March 31st, 2024 within thirty days, from the respective date of lodgement.

However, there were no requests for remat, sub-division, split, consolidation, renewal, exchange of certificates and endorsement for payment of call / allotment money.

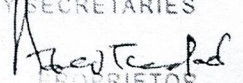
B. The Company has not issued any debentures, hence the reporting of the same is not applicable.

C. Any other information, if applicable: N.A.

For ADESH TANDON AND ASSOCIATES

Company Secretaries

For ADESH TANDON & ASSOCIATES
COMPANY SECRETARIES


PROPRIETOR
C.P. No. 1121

Peer Reviewed Unit: 741/2020

UDIN: F002253F000077878

Date: April 10, 2024

Place: Kanpur

Adesh Tandon

Proprietor

FCS No.2253

C. P. No.1121