

# GANGES SECURITIES LIMITED

CIN – L74120UP2015PLC069869

REGD. OFFICE - P.O. HARGAON, DIST SITAPUR (U.P.), PIN – 261 121

Phone No. (05862) 256220-221; Fax No.: (05862) 256 225

E-mail – [gangessecurities@birlasugar.org](mailto:gangessecurities@birlasugar.org); Website-[www.birla-sugar.com](http://www.birla-sugar.com)

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July 27, 2020

Mr. Rakesh Parekh,  
Special Assistant,  
BSE Ltd.  
1st Floor, New Trading Ring,  
Rotunda Building  
P.J. Towers, Dalal Street, Fort  
Mumbai-400 001

**Stock Code : 540647**

Dear Mr. Parekh,

**Ref: Your email dated July 25, 2020 regarding Certificate under Reg. 40(9), (10) of SEBI (LODR) Regulations, 2015 for Half Year ended March 2020**

We are in receipt of your above referred email message regarding submission of duly signed Certificate of Practicing Company Secretary under Reg. 40(9), (10) of SEBI (LODR) Regulations, 2015 for Half Year ended March 2020.

We would like to state that the Compliance Certificate under Reg. 40(9), (10) of SEBI (LODR) Regulations, 2015 as issued by the Practicing Company Secretary ('PCS') with a Sd/- signature has been submitted with BSE Ltd on May 27, 2020 under Acknowledgement No 1732352.

You would appreciate that such certificate was issued under lockdown due to Covid 19 with limited available resources with the issuing PCS.

However, as advised, on specific request, we have received a duly signed Certificate under Reg. 40(9), (10) of SEBI (LODR) Regulations, 2015, a copy whereof is attached for your quick reference and records.

We hope the above suffice your requirements.

Yours faithfully,  
**For Ganges Securities Limited**



Vijaya Agarwala  
**Company Secretary**  
ACS : 38658



Encl.: as above

Fax : (033) 2236-7135  
Email : smguptaandco@yahoo.com  
smguptaandco@gmail.com  
Website : www.smgupta.com

Offi. : 2236 1385,2236 7135  
Phones : Resi. : 2534 8148  
Mobile : 98300 57568

## **S. M. GUPTA & CO.**

**COMPANY SECRETARIES**

**P-15 BENTINCK STREET, CALCUTTA-700 001**

Ref. No.....

Dated.....

### **CERTIFICATE** **FOR THE HALF YEAR ENDED 31.03.2020**

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. GANGES SECURITIES LIMITED (CIN: L74120UP2015PLC069869)** maintained by them and their Share Transfer Agents (STA) M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from **01.10.2019 to 31.03.2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification, we hereby certify that the Company has generally issued during the above half year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgement cases) received during the period from **01.10.2019 to 31.03.2020** as entered in the Register of Transfers within thirty days from respective date of lodgment of such deed excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgment.

The Certificate is being issued under the conditions of Lockdown due to COVID-19 with limited available resources.

Place : Kolkata

Date : 26.05.2020

Signature :

Name :  S.M.GUPTA

Membership No : FCS-896

C. P. Number : 2053

UDIN : **F000896B000281990**