

# CONFIDENCE FINANCE AND TRADING LIMITED

Registered Office: 9, Botawala Building, 3<sup>rd</sup> Floor, 11/13, Horniman Circle, Fort, Mumbai 400001

Tel: 22662150 [www.ctcl.co.in](http://www.ctcl.co.in) [inictclbse@gmail.com](mailto:inictclbse@gmail.com) CIN:L51909MH1980PLC231713

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Date:22/04/2022

To,  
The Manager  
DCS-CRD  
BSE Ltd.  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort,  
Mumbai – 400001

## **Scrip Code: 504340**

Sub: Filing of Certificate pursuant to Regulation 40 (9) of SEBI (LODR) Regulations, 2015 for the Financial Year ended on 31<sup>st</sup> March, 2022.

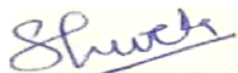
Dear Sir/Madam,

Please find enclosed herewith the certificate for Compliance of Regulation 40(9)of SEBI(LODR) Regulations,2015 obtained from Ritika Agrawal ,Practising Company Secretary for the Financial year ended on 31<sup>st</sup> March,2022.

You are requested to please take on record the above said document for your reference & further needful.

Thanking You  
Yours Faithfully

**For Confidence Finance and Trading Limited**



**SHWETA SHARMA  
COMPANY SECRETARY &  
COMPLIANCE OFFICER**



Encl: as above



**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2022**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **CONFIDENCE FINANCE AND TRADING LIMITED** having CIN **L51909MH1980PLC231713** and having registered office at 9, Botawala Building, 3rd Floor, 11/13, Horniman Circle, Fort, Mumbai 400001 (hereinafter referred to as 'the Company'), maintained by Purva Sharegistry (India) Pvt Ltd, Registrar and Share Transfer Agents pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulations, 2015 and based on the information provided by the Company, we hereby certify that:

- A. the Company has not delivered during financial year ended on 31<sup>st</sup> March, 2022 Share Certificates relating to the Transfer of Shares during the period from 1<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022 as there were no request received for transfer, consolidation, sub-division (spilt), renewal and exchange or endorsement of calls/allotment monies during the aforesaid period as per the records made available to us
- B. any other information, if applicable: None

For Ritika Agrawal & Associates

*Ritika Agrawal*

**Ritika Agrawal**

Proprietor

M. No. 8949

COP No. 8266

UDIN: F008949D000160298



Place: Mumbai

Date: 19<sup>th</sup> April, 2022