



Sakthi Finance

Since 1955

BSE Limited
(Scrip Code: 511066)
Floor 25, P J Towers
Dalal Street, Fort
Mumbai - 400 001

Attn: Ms Aarti Kothari
Manager-Listing Compliance
and Ms Shweta Narayanan
Assistant Manager - Listing Compliance

Dear Madam,

Sub: 1.Fines as per SEBI Circular No. SEBI/HO/DDHS/DDHS/CIR/P/2020/231 dated November 13, 2020 regarding delay in furnishing prior intimation with respect to date of payment of interest to NCD holders under Regulation 50(1) of SEBI (LODR) Regulations, 2015

Sub:2 Fines as per SEBI Circular No. SEBI/HO/DDHS/DDHS/CIR/P/2020/231 dated November 13, 2020 and SEBI/HO/DDHS Div2/P/CIR/2021/699 dated December 29, 2021 regarding delay in furnishing prior intimation with respect to Regulation 60(2) of SEBI (LODR) Regulations, 2015

Ref: 1.Your e-mail addressed to Company Secretary / Compliance Officer dated 28 September 2022- delay in furnishing prior intimation with respect to date of payment of interest to NCD holders under Regulation 50(1) of SEBI (LODR) Regulations, 2015 for ISINs INE302E07334, INE302E07359, INE302E07276, INE302E07375 for August 2021

Ref:2 - Your e-mail addressed to Company Secretary / Compliance Officer dated 28th September 2022 - Delay in submission of the Notice of Record Date under Regulation 60(2) of SEBI (LODR) Regulations, 2015 for ISINs INE302E07268 and INE302E07250 for April 2022

Please refer to your above e-mails dated 28th September 2022 regarding alleged delay in:

- furnishing prior intimation with respect to date of payment of interest to NCD holders under Regulation 50(1) of SEBI (LODR) Regulations, 2015 for ISINs INE302E07334, INE302E07359, INE302E07276, INE302E07375 for August 2021 and
- submission of the Notice of Record Date under Regulation 60(2) of SEBI (LODR) Regulations, 2015 for ISINs INE302E07268 and INE302E07250 for April 2022

We wish to inform you that the subject matter was placed before the Board of Directors at their Meeting held today, i.e 10 November 2022 along with the fact of representations made by the Company about its proper compliance and the need to drop the fines levied by BSE Limited.

The Board of Directors noted that the above matter is under the consideration with BSE Limited.

We request you to take the information on record.

Yours faithfully
For Sakthi Finance Limited


S. Venkatesh
Company Secretary &
Chief Compliance Officer
FCS 7012

