

April 15, 2021

Listing Compliance & Legal Regulatory  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street, Mumbai 400 001  
Stock Code: 543227

Listing & Compliance  
National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex  
Bandra East, Mumbai 400 051  
Stock Code: HAPSTMNDS

Dear Sir/Madam,

**Sub: Compliance Certificate in terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Please find enclosed the Compliance Certificate dated April 14, 2021 issued by M/s. V Sreedharan & Associates, Practicing Company Secretaries as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021.

This is for your information and records.

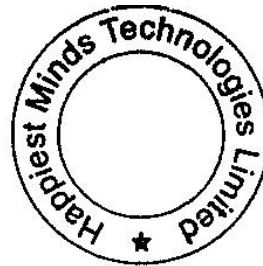
Thanking you,

Yours faithfully,

For **Happiest Minds Technologies Limited**



**Praveen Kumar Darshankar**  
Company Secretary & Compliance Officer



# V SREEDHARAN AND ASSOCIATES

Company Secretaries

No. 291, 1<sup>st</sup> Floor, 10<sup>th</sup> Main Road, 3<sup>rd</sup> Block,  
Jayanagar, Bengaluru - 560 011

+ 91 80 49594533 compliance@sreedharancs.com



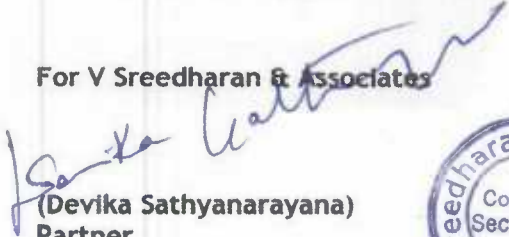
## CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED MARCH 31, 2021

To,  
**KFin Technologies Private Limited**  
Karvy Selenium, Tower B, Plot No.31 & 32,  
Financial District, Nanakramguda,  
Serilingampally, Hyderabad-500032,  
Telangana

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **HAPPIEST MINDS TECHNOLOGIES LIMITED** maintained by KFin Technologies Private Limited, Hyderabad (RTA) pertaining to transfer of equity shares of the Company for the period from 01.10.2020 to 31.03.2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/RTA, we hereby certify that:-

- A. The Company has not received any request for transfer of shares during the period from 01.10.2020 to 31.03.2021 and hence delivery of Share Certificates within one month relating to the Share Transfer during the said half year does not arise.
- B. No request was received for issue of duplicate Share Certificate, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the aforesaid period.

For V Sreedharan & Associates

  
(Devika Sathyanarayana)  
Partner  
ACS 16617; C.P. No. 17024



April 14, 2021  
Bengaluru

UDIN: A016617C000088911

C.C. to:  
**HAPPIEST MINDS TECHNOLOGIES LIMITED**  
Bengaluru