

KEYNOTE

25
—YEARS—
OF CREATING
VALUE
THROUGH
RELATIONSHIPS
AND
TRUST

Ref# Key20/Stock Exchange Let/Sm (43)

27th October, 2020

The Manager
BSE Limited,
Listing Department,
PhirozeJeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

The Manager
National Stock Exchange of India Ltd.
Listing Department, Exchange Plaza,
C-1, Block- G, BandraKurla Complex,
Bandra (East),
Mumbai - 400 051

Scrip Code/ Name of Scrip: 512597/KEYFINSER

Name of Scrip: KEYFINSERV

Dear Sir,

Reg: Submission of Compliance Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

With reference to the captioned matter, please find enclosed herewith Compliance Certificate for the period 1st April, 2020 to 30th September, 2020 issued by M/s. Uma Lodha & Co., Company Secretaries, Mumbai, as required in terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

You are requested to take the same on record.

Thanking you and assuring you of our best co-operation at all times.

Yours sincerely,

For **Keynote Financial Services Limited**

Sd/-

Sujeet More

Company Secretary

Contact No. 022 6826 6071

Encl: as above

Keynote Financial Services Limited

(Formerly known as Keynote Corporate Services Limited)

The Ruby, 9th Floor, Senapati Bapat Marg, Dadar (West), Mumbai 400028

Tel : 91 22 6826 6000 Fax : 91 22 6826 6088 Email : info@keynoteindia.net Website : www.keynoteindia.net

CIN – L67120MH1993PLC072407

UMA LODHA & CO.

COMPANY SECRETARIES

Suite No. 507, 5th Floor, Highway Commercial Centre,
I.B Patel Road, Goregaon East, Mumbai - 400063
Tel: 91-22-40131001/02 Mob: +91-9821247172
Email: uma@umalodha.com | info@umalodha.com
Website: www.umalodha.com

**CERTIFICATE UNDER REGULATION 40(9) UNDER SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS), REGULATIONS 2015 FOR HALF YEAR ENDED
30th SEPTEMBER,2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Keynote Financial Services Limited** maintained by Link Intime India Private Limited pertaining to transfer of Equity Shares of the Company for the period from 01st April,2020 to 30th September,2020 for the purpose of issuing Certificate as per Regulation 40(9) under SEBI (Listing Obligation and Disclosure Requirements), Regulation 2015.

Based on the information provided by M/s. Link Intime India Private Limited, I hereby certify that the company has delivered during the half year ended on 30th September,2020, Share Certificate(s) relating to the Share Transfer Form (s)(including deletion of name of the deceased, transmission of securities and transposition of names wherever applicable) received during the period from 1st April,2020 to 30th September, 2020 as entered in the Memorandum of Transfers have been issued within thirty days from the respective Date of Lodgment of each form excepting those rejected on technical grounds.

**For Uma Lodha & Co.
Practicing Company Secretaries**

Uma Nipun
Lodha

Digitally signed by
Uma Nipun Lodha
Date: 2020.10.26
15:00:12 +05'30'

**Place: Mumbai
Date: 26/10/2020**

**UMA LODHA
Proprietor
C.P. No. 2593
UDIN No. F005363B001058349**