



Regency Fincorp Limited

(Formerly Known as :- Regency Investments Limited)

CIN : L67120PB1993PLC013169

Corp. & Regd. Office : Unit No.49B, 3rdFloor, Sushma Infinium, Chandigarh-Ambala Highway, Zirakpur, Punjab-140603

Contact No.: +91-1762-424697 | Web.: www.regencyfincorp.com | E-mail : regencyinvestmentsltd@gmail.com

Ref: Regency/BSE/2021

Date: 29.04.2021

To,

Department of Corporate Services

The BSE Limited

Phiroze Jeejeebhoy Towers,

Dalal Street, Mumbai 400001

Scrip Code: 540175

Sub: Compliance Certificate under Regulation 40(9) & 40(10) of SEBI (LODR) Regulations, 2015

Dear Sir,

Pursuant to Regulation 40(9) & 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are hereby enclosing Compliance Certificate duly certified by Practicing Company Secretary namely Mr. Suresh Kumar Pillay for the half year ended March 31st, 2021.

Kindly take the same on records.

Thanking You.

**For Regency Fincorp Limited
(Formerly known as Regency Investments Limited)**

For Regency Fincorp Limited

Rahul

Company Secretary

Rahul Verma

Company Secretary cum Compliance officer

Suresh Kumar Pillay
Company Secretary

51, Adarsh Enclave, Opp. Pine Homes Society
Dhakoli, Zirakpur, Distt. Mohali (Punjab)-160104
Mobile: 7018513892 ,9896087150
sksuresh78@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED ON 31STMARCH,2021

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s REGENCY FINCORP LIMITED (Company)** having its Registered Office at Unit No. 49 B, 3rd Floor Sushma Infinium, Chandigarh Ambala Highway Zirakpur Mohali PB 140603 maintained by Mas Services Pvt. Ltd., the Registrar and Share Transfer Agent of the Company (hereinafter referred to as the 'RTA') pertaining to transfer of equity shares of the Company for the period from 1st October,2020 to 31st March, 2021 for the purpose of issuing a certificate pursuant to regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 and based on the above examination and further information provided by the Company and RTA, I, hereby certify that the company has not received any request/ application relating to share transfer,sub - division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 1st October,2020 to 31st March,2021

Place: Zirakpur
Date: 29.04.2021
UDIN: **A043865C000209371**

SURESH KUMAR PILLAY
C. P. No: 21089