

12th October 2023

National Stock Exchange of India Limited **BSE Limited** 

## Scrip Code -

National Stock Exchange of India Limited: SIEMENS EQ

BSE Limited: 500550

Submission of Certificate under Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Financial Year ended 30<sup>th</sup> September 2023

Dear Sir / Madam,

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), we are submitting herewith, a certificate dated 12<sup>th</sup> October 2023 as per Regulation 40(9) of the Listing Regulations for the Financial Year ended 30th September 2023, from M/s. Parikh Parekh & Associates, Practising Company Secretaries.

Kindly take the same on record.

Yours faithfully,

For Siemens Limited

**Ketan Thaker** Company Secretary

Encl.: as above



Office: 111, 11th floor, Sai-Dwar CHS Ltd., SAB TV Lane, Opp. Laxmi Industrial Estate, Off Link Road, Above Shabari Restaurant, Andheri (West), Mumbai-400 053.

Tel.: 26301232 / 26301233 Email: cs@parikhassociates.com Website: www.parikhassociates.com Firm Unique Code: P1987MH010000

## CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2023.

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. April 01, 2019.

Further, SEBI vide gazette notification dated January 24, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to SIEMENS LIMITED ('the Company') maintained and provided electronically by TSR Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 30<sup>th</sup> September, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

- A) 1.a. As informed to us, there were no requests relating to transfers received during the financial year ended 30<sup>th</sup> September, 2023.
- 1. b. The Company has delivered during year ended on 30<sup>th</sup> September, 2023, share certificates/ letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities, deletion of name and renewal received during the financial year ended 30<sup>th</sup> September, 2023, within the prescribed time from the respective date of

lodgment. As informed to us there were no requests relating to transposition of sub-division (split), consolidation, exchange or endorsement of securities. calls/allotment monies received during the said period.

Signature:

B) Any other information, if applicable: --

For Parikh Parekh & Associates

Nahalchand Parikh Date: 2023.10.12 13:31:45 +05'30'

Company Secretaries

Pravinchandr Digitally signed by Pravinchandra Nahalchand Parikh Disc.-(INL on-Personal, title-63274, presonal, title-632744, presonal, title-63274, presonal, t

**Parikh** 

P. N. Parikh

FCS No: 327 CP No: 1228 UDIN: F000327E001275491

PR No.: 723/2020

Place: Mumbai

Date: October 12, 2023