

**April 19, 2022**

To  
**Corporate Relations**  
**BSE Limited**  
Phiroze Jeejeebhoy Towers, Dalal Street,  
Mumbai-4000 01  
**(Security Code : 534615)**

**The Manager (Listing Department)**  
**National Stock Exchange of India Limited**  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex, Bandra (E)  
Mumbai - 400 051  
**(Symbol: NECCLTD)**

**Sub: Annual Secretarial Compliance Report for the financial year ended March 31, 2022**

Dear Sir(s)

Pursuant to Regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find enclosed copy of the Annual Secretarial Compliance Report of the Company for the financial year ended March 31, 2022, issued by A.K. Friends & Co., Practicing Company Secretary and Secretarial Auditor of the Company.

This is for your information and record.

Thanking You

Yours faithfully,  
For **North Eastern Carrying Corporation Limited**

For North Eastern Carrying Corporation Ltd.

*Mamta Bisht*  
Company Secretary

**(Mamta Bisht)**  
Company Secretary & Compliance officer

encl: a/a



**A. K. FRIENDS & CO.**  
**Company Secretaries**

E-38, 2nd Floor, Behind Hira Sweets, Laxmi Nagar,  
Delhi -110092, INDIA, Tel.: (0) +91-11-43601839  
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**SECRETARIAL COMPLIANCE REPORT OF NORTH EASTERN CARRYING CORPORATION  
LIMITED FOR THE YEAR ENDED MARCH 31, 2022**

To,

The Board of Directors,  
**North Eastern Carrying Corporation Limited**  
9062/47, Ram Bagh Road, Azad Market,  
Delhi-110006

I have examined:

(a) All the documents and records made available to us and explanation provided by **North Eastern Carrying Corporation Limited ( CIN: L51909DL1984PLC019485)** ("the listed entity"),

(b) The filings/ submissions made by the listed entity to the stock exchanges,

(c) Website of the listed entity,

(d) Any other document/filing, as may be relevant, which has been relied upon to make this certification, for the financial year ended 31<sup>st</sup> March, 2022("Review Period") in respect of compliance with the provisions of:

(a) the Securities and Exchange Board of India Act, 1992("SEBI Act") and the Regulations, circulars, guidelines issued there under; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued there under, have been examined, include:-

(a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

(b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;



- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 **(Not applicable as the Company has not brought back/propose to buyback any of its securities during the review period);**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 **(Not applicable as the Company has not issued any shares to its employees during the review period);**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 **(Not applicable as the Company has not issued and listed debt securities during the review period);**
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013 **(Not applicable as the Company has not issued and listed any Non- Convertible and Redeemable Preference Shares during the Review period);**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and the circulars and guidelines issued there under;
- (i) Securities and Exchange Board of India (Depositories & Participants) Regulations, 2018 ;
- (j) Other regulations as applicable to the Company and circulars/ guidelines issued there under;

And based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below:-

Sr. No.	Compliance Requirement Observations/ (Regulations/ circulars/ Remarks of the guidelines including specific Practicing clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
NA			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued there under in so far as it appears from my examination of those records.



(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No.	Action taken by	Details of Violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
NA				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 <sup>st</sup> March, 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NA				



For A. K. Friends & Co.

(Ashish Kumar Friends)  
Practicing Company Secretaries  
CP No.:4056  
Membership No.: FCS 5129

Place: Delhi  
Date: 19/04/2022  
UDIN: F005129D000152771