



Ref No.: PSL/2021-22/CS/SE/32

Date: 20<sup>th</sup> July, 2021

To, Listing Department <b>National Stock Exchange of India Limited</b> Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051  Symbol: DIAMONDYD	To, Corporate Relationship Department <b>BSE Limited</b> P.J. Towers, Dalal Street, Mumbai - 400 001  Security Code: 540724 Security ID: DIAMONDYD
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Dear Sir/Madam,

**Sub: Reporting to Stock Exchanges regarding violations under SEBI (Prohibition of Insider Trading) Regulations, 2015 and Company's Code of Conduct for regulating, monitoring and reporting of trading in securities**

**Ref: SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated 23<sup>rd</sup> July, 2020**

With reference to the above Circular, please find enclosed herewith a report on violations under SEBI (Prohibition of Insider Trading) Regulations, 2015 and Company's Code of Conduct for regulating, monitoring and reporting of trading in securities by the Designated Person.

This is for your information and records.

Thanking you,

Yours faithfully,

For **Prataap Snacks Limited**

**Om Prakash Pandey**  
Company Secretary and Compliance Officer



Encl.: As above

**Prataap Snacks Limited**

CIN : L15311MP2009PLC021746

**Report by Prataap Snacks Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015**

Sr. No.	Particulars	Details
1	Name of the Listed Company/ <del>Intermediary/Fiduciary</del>	Prataap Snacks Limited
2	<i>Please tick appropriate checkbox</i> Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	<b>A. Details of Designated Person (DP)</b>	
	i. Name of the DP	Mr. Mahesh Harigopal Purohit
	ii. PAN of the DP	AGUPP8579R
	iii. Designation of DP	General Manager Sales - West
	iv. Functional Role of DP	Heading sales function of western region
	v. Whether DP is Promoter or belongs to Promoter Group	No
	<b>B. If Reporting is for immediate relative of DP</b>	
	i. Name of the immediate relative of DP	N.A.
	ii. PAN of the immediate relative of DP	N.A.
	<b>C. Details of transaction(s)</b>	
	i. Name of the scrip	DIAMONDYD
	ii. No. of shares traded and value (Rs.) (Date- wise)	Sold 748 shares on 12 <sup>th</sup> July, 2021 and 550 shares on 13 <sup>th</sup> July, 2021 for an aggregate value of Rs. 10,28,190.58/-
	<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>	
	i. Date of intimation of trade(s) by concerned DP/ <del>director/promoter/promoter group</del> to Company under regulation 7 of SEBI (PIT) Regulations, 2015	19 <sup>th</sup> July, 2021
	ii. Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015	20 <sup>th</sup> July, 2021
4	Details of violations observed under Code of Conduct	Shares sold during trading window closure.
5	Action taken by Listed Company/ <del>Intermediary/Fiduciary</del>	Warning letter issued to the DP. Also, a penalty of Rs. 25,705/- has been collected from the DP.
6	Reasons recorded in writing for taking action stated above	The aforesaid action was taken after taking into account the following factors: 1. It being first instance of non-compliance on the part of the DP;

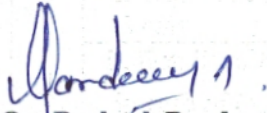




		and 2. The quantum of shares involved.												
7	Details of the previous instances of violations, if any, since last financial year	N.A.												
8	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/ <del>Demand Draft</del> )	Online												
	ii. Details of transfer/payment in case of Online:													
	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>PRATAAP SNACKS LTD</td> </tr> <tr> <td>Bank name, branch and account number</td> <td>ICICI Bank Malav Parisar, Indore 004151000031</td> </tr> <tr> <td>UTR/Transaction reference number</td> <td>573784577</td> </tr> <tr> <td>Transaction date</td> <td>20<sup>th</sup> July, 2021</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td>25,705/-</td> </tr> </tbody> </table>		Particulars	Details	Name of the transferor	PRATAAP SNACKS LTD	Bank name, branch and account number	ICICI Bank Malav Parisar, Indore 004151000031	UTR/Transaction reference number	573784577	Transaction date	20 <sup>th</sup> July, 2021	Transaction Amount (in Rs.)	25,705/-
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Transaction date	20 <sup>th</sup> July, 2021													
Transaction Amount (in Rs.)	25,705/-													
9	Any other relevant information	None												

Yours faithfully,

For Prataap Snacks Limited



**Om Prakash Pandey**

Company Secretary and Compliance Officer

PAN: ANUPP6287B

E-mail: [ompandey@yellowdiamond.in](mailto:ompandey@yellowdiamond.in)

Date: 20<sup>th</sup> July, 2021

Place: Indore

