

Corp. Office : 1st to 4th Floor, SM House, 11 Sahakar Road, Vile Parle (East), Mumbai - 400 057.
Tel. : (91-22) 6726 1000 Fax : (91-22) 6726 1068 Email : info@guficbio.com CIN No. L24100MH1984PLC033519

45/LG/SE/APRIL/2019/GBSL

April 26, 2019

To,
Listing Department,
BSE Limited
Phiroze Jeejeebhoy Towers, Dalal
Street,
Mumbai – 400 001
Security Code : 509079

To,
Listing Department,
**National Stock Exchange of India
Limited**
Exchange Plaza, Bandra Kurla Complex,
Bandra (E), Mumbai – 400 051
Security Code : GUFICBIO

Dear Sir,

Sub.: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the provisions of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith certificate received from M/s. Gajanan D. Athavale, Practicing Company Secretary, Mumbai for the half year ended March 31, 2019.

Kindly take the same on your record.

Thanking you,

Yours faithfully,
For Gufic Biosciences Limited



Ami N. Shah
Company Secretary & Compliance Officer
Mem No. A39579



Encl : A/a



Gajanan D. Athavale Company Secretaries

24, Minerva Mansion, Dr. Ambedkar Road, Dadar (East), Mumbai, Maharashtra State - 400 014.

E: gajanan@aathavale.com U: www.aathavale.com M: 91- 9870567711 S: live:gajanan.athavale

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CERTIFICATE

[Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.]

To,
The Board of Directors,
Gufic Biosciences Limited
Mumbai.

BSE Scrip Code: 509079
NSE Scrip Code: GUFICBIO
ISIN: INE742B01025

Based on the certificate by M/s. Link Intime India Pvt. Ltd., the Company appointed RTA dated 05 & 25 April 2019, which was further supported by the Company's Management Representation letter dated 25 April 2019; in that respect, I hereby certify that during the period starting from 01 October 2018 and ending with 30 March 2019, *neither* the Company *nor* its afore referred appointed RTA received any request for share transfer, in respect of physical shareholding, *except* electronic share transfer and / or request for DEMAT / REMAT, in respect of which the certified reconciled depository position is provided by the Company appointed RTA by their report generated on 04 April 2019.

For Gajanan D. Athavale
Company Secretaries

Gajanan D. Athavale
Proprietor
Membership No. FCS-9177
Certificate of Practice: 10121



Place: Mumbai
Date: 25 April 2019