

V.B. Desai Financial Services Limited

Category I Merchant Banker - SEBI Registration No. INM 000002731

April 20, 2021

The Corporate Relations Department
The BSE Ltd.
P.J.Towers, Dalal Street,
Mumbai – 400 001

Dear Sirs,

Ref: Company Code No. 511110

Sub: Certificate under clause 40 (9) of Listing Obligations and Disclosure Requirements for the half year ended 31st March 2021

With reference to the above, we enclose the certificate dated 20th April 2021 under clause 40 (9) of Listing Obligations and Disclosure Requirements issued by Mr. N. Hariharan, Practicing Company Secretary for the half year ended on 31st March 2021.

Kindly take the same on your record.

Thanking you,
Yours faithfully,
For V.B. DESAI FINANCIAL SERVICES LIMITED



Kamlesh M Gagvani
Company Secretary

Encl: As above

The Board of Directors
V.B. Desai Financial Services Limited
Cama Building, 1st Floor
24/26, Dalal Street,
Fort, Mumbai – 400 001

CERTIFICATE FOR THE HALF YEAR ENDED 31ST MARCH 2021

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **V.B. DESAI FINANCIAL SERVICES LIMITED** maintained by **PURVA SHAREGISTRY (I) PVT. LIMITED** pertaining to transfer of equity shares of the company for the period from **1st October 2020** to **31st March 2021** for the purpose of issuing a Certificate as per Clause 40 (9) of the Listing Obligations and Disclosure Requirements as applicable to **V.B. DESAI FINANCIAL SERVICES LIMITED** with BSE and based on the information provided by the Company and by the Registrar and Share Transfer Agent of the Company, I hereby certify that the Company has delivered during half year ended on **March 31, 2021:**

- A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from **1st October 2020** to **31st March 2021** as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgement of each deed excepting those rejected on technical grounds.
- B) During the period under review, no share certificates in respect of requests for issue of duplicate certificates have been issued.
- C) Any other information, if applicable - Nil



N. HARIHARAN
COMPANY SECRETARY
FCS 559 : CP : 7119

N. Hariharan & Company
(Proprietor: N. H. Iyer, B.A., (Spl.), AICWA., FCS.,)
Practising Company Secretary
FCS-559; CP No.: 7119

N. HARIHARAN
COMPANY SECRETARY
FCS 559 : CP 7119

Date : April 20, 2021
Place: Navi Mumbai