



CARAVELA[®]
BEACH RESORT

VARCA, GOA

Date: April 14, 2023

To,
The Manager,
BSE Limited
Corporate Compliance Department
P. J. Towers,
Dalal Street, Fort, Mumbai - 400001
Scrip Code – **523269**

To,
The Manager - Listing,
National Stock Exchange of India
Exchange Plaza, 5th Floor, 'G' Block,
Bandra Kurla Complex,
Bandra (East), Mumbai – 400051
Symbol- **ADVANIHOTR**

Dear Sir/Madam,

Subject: Certificate under Regulation 40(9) and 40(10) of SEBI (Listing Obligations and Disclosure Requirement) Regulations 2015 for the Financial year ended March 31, 2023.

Pursuant to Regulation 40(9) & 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herewith Report for the period from April 01, 2022 to March 31, 2023 received from Virendra G. Bhatt, Practicing Company Secretary, Mumbai.

We request you to kindly take the same on your record.

Thanking you,

Yours faithfully,

For ADVANI HOTELS AND RESORTS (INDIA) LIMITED

Vikram Soni
Company Secretary and Compliance Officer
Membership No: A36705

Enclosure: As Above

Virendra Bhatt

Company Secretary

Office :

Office No.: 03, A Wing, 9th Floor,

Pinnacle Corporate Park,

BKC CST Link Rd., MMRDA Area,

Bandra Kurla Complex,

Bandra East, Mumbai - 400 051

Tel.: 022 - 2652 9367 / 68

Mobile No.: +91 98200 48670

Email : bhattvirendra1945@yahoo.co.in

Name of the Company : Advani Hotels & Resorts (India) Limited

CIN : L99999MH1987PLC042891

Registered Office : 18A & 18B, Jolly Maker Chambers- II, Nariman Point,
Mumbai - 400021

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR
ENDED 31ST MARCH, 2023**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 08th June, 2018 and vide its press release dated 3rd December, 2018 ("SEBI's operational guidelines"), amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01st April, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 ("SEBI's operational guidelines") had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Subdivision/Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, I have examined all the relevant documents relating to **ADVANI HOTELS & RESORTS (INDIA) LIMITED** maintained by the **Datamatics Business Solutions Limited (Formerly known as Datamatics Financial Services Limited)** for the purpose of issuing the Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from **01st April 2022 to 31st March, 2023** and based on the information provided, I hereby certify that :

- A. The Company / RTA has delivered / sent during year ended on 31st March, 2023, letters of confirmation as per SEBI's operational guidelines, for execution of deletion of name, share transmission and issue of duplicate



securities certificate, received during the financial year ended 31st March, 2023, within the prescribed time from the respective date of lodgement.

- B. As informed to me, the RTA has not processed any physical transfer, transposition of securities, renewal, consolidation, exchange and sub-division (split) etc. as per SEBI's operational guidelines.

Date: 13th April, 2023
Place: Mumbai



Virendra G. Bhatt
Practicing Company Secretary
ACS No.: 1157 / COP No.: 124
Peer Review Cert. No.: 1439/2021

UDIN: A001157E000080225