



Aryan Share & Stock Brokers Ltd

Member – NSE/BSE
Depository Participant : CDSL

Regd. Off: "Shreeji Metropolis"
No. 7, 7th Cross Sreet, 2nd Floor
Shenoy Nagar, Chennai – 600030.
Phone No : 26223360
Email : aryan@assbl.com
CIN : L65993TN1995PLC031800

Date: 03rd October, 2019

The Manager,
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers 'A' wing,
Dalal Street, Fort,
Mumbai - 400021

Subject: Submission of Certificate under Regulation 40(9) of SEBI (LODR) 2015 for the half yearly ended on 30th September, 2019

Reference: Scrip Code -542176; ISIN - INE016X01010

Dear Sir/Madam,

With reference to above cited subject and pursuant to the Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are here by submitting the Certificate for the half year ended on 30th September, 2019 as issued by Practicing Company Secretary.

Kindly take the same on record and acknowledge.

Thanking you

Yours faithfully,

For Aryan Share & Stock Brokers Limited

Shanmukh Shah Navin
Managing Director
DIN : 00554879



VISHAKHA AGRAWAL & ASSOCIATES

Practising Company Secretaries

3rd Floor, 75A, Scheme No. 91,

Malwa Mill, Indore (M.P.)

E-mail: esvishakhagrawal@gmail.com

Contact No. 9424501155, 8518888114

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS 2015 FOR THE HALF YEAR ENDED 30th SEPTEMBER 2019

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **ARYAN SHARE AND STOCK BROKERS LIMITED**, having its registered office at Old No. 3, New No. 7, 7th Cross Street, Shenoy Nagar, Chennai (T.N.) - 600030 (CIN: **L65993TN1995PLC031800**) maintained by **GNSA Infotech Limited**, its share transfer agent, having its registered office at 115, Nelson Manickam Road, Collectorate Colony, Aminthakarai, Chennai, Tamil Nadu - 600029, pertaining to transfer of equity shares of the company for the period (**from 01st April, 2019 to 30th September 2019**) for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015, by Aryan Share and Stock Brokers Limited and based on the information provided by the Company and its share transfer agent, hereby certify that the Company has delivered during the half year ended on September 2019 -

- a) Share Certificate(s) relating to the Share Transfer form(s) received during the period from (01st April, 2019 to 30th September 2019) as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgment of each form except those rejected on technical grounds;
- b) Share Certificate in respect of requests for exchange of duplicate and split certificate have been issued within one month of lodgment.

Date: 12/10/2019

Place: Indore

FOR VISHAKHA AGRAWAL & ASSOCIATES
Practising Company Secretaries



A handwritten signature in blue ink, appearing to read "Vishakha Agrawal".

CS VISHAKHA AGRAWAL

(Proprietor)

M. No: 39298 C.P. No.15088

UDIN: A039298A000061188