



# Goldiam International Ltd

MANUFACTURERS & EXPORTERS OF DIAMONDS & JEWELLERY

CIN:L36912MH1986PLC041203

May 26, 2021

To, BSE Limited PhirozeJeejeebhoy Towers, Dalal Street, Mumbai- 400 001. <b>Scrip Code: 526729</b>	To, National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Mumbai- 400 051. <b>Scrip Code: GOLDIAM EQ</b>
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Dear Sir/Madam,

**Sub: Submission of Annual Secretarial Compliance Report for year ended March 31, 2021 pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements), 2015**

With reference to the above, please find enclosed herewith the copy of the Annual Secretarial Compliance Report for year ended March 31, 2021 issued by Rajnikant N Shah, Practicing Company Secretary pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements), 2015.

Kindly take the above on record and oblige.

Yours faithfully,  
For **Goldiam International Limited**



**Pankaj Parkhiya**  
**Company Secretary & Compliance Officer**

Encl.:- As above

**Registered Office**

Gems & Jewellery Complex, Santacruz Electronics Export Processing Zone, Andheri (East), Mumbai-400096. India  
Phones: (022) 28291893/28290396/28292397 Fax : (022) 28292885 Email:- [investorrelations@goldiam.com](mailto:investorrelations@goldiam.com)  
Website: [www.goldiam.com](http://www.goldiam.com)

**R. N. SHAH & ASSOCIATES**  
**Company Secretaries**

Tele : 2836 9965/66971219  
Mobile(s):9324760604/8850091172  
E-mail : [rnshah1954@yahoo.com](mailto:rnshah1954@yahoo.com)  
Website: [rnshahassociates.com](http://rnshahassociates.com)

**Rajnikant N. Shah**

B. Com. (Hons.); LL.B. (Gen.); F.C.S.

**OFFICE:**

Office No. 306, Apollo Complex,  
R. K. Singh Marg, Off. Parsi  
Panchayat, Old Nagardas Road,  
Andheri (East),  
Mumbai – 400 069.

**Secretarial Compliance Report of GOLDIAM INTERNATIONAL LIMITED**

**for the financial year ended on 31<sup>st</sup> March, 2021**

To,  
The Members,  
**GOLDIAM INTERNATIONAL LIMITED,**  
Gems & Jewellery Complex,  
M. I. D. C., SEEPZ,  
Mumbai-400096.

I Rajnikant N. Shah have examined:

- (a) all the documents and records made available to us and explanation provided **GOLDIAM INTERNATIONAL LIMITED**
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31.03.2021 in respect of compliance with the provisions of:
  - (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
  - (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

**The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -**

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (f) SEBI (Depositories and Participants) Regulations, 2018 and circulars/ guidelines issued thereunder;

and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except:

**Failure to appoint of Independent Woman Director on the Board by April 1, 2020 pursuant to regulation 17 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

- (c) One action taken against the listed entity/~~its promoters/ directors/ material subsidiaries~~ either by ~~SEBI~~ or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

**For failure to appoint Independent Woman Director on the Board by April 1, 2020 pursuant to regulation 17 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had been penalized for Rs. 4,55,000/- vide National Stock Exchange of India Limited (NSE) Order dated February 8, 2021 bearing Ref. No. NSE/LIST/SOP/ GOLDIAM during the Financial Year 2020-21.**

**Place: MUMBAI  
Date: May 25, 2021**

SHAH  
RAJNIKANT  
NAROTTAM  
DAS

Digitally signed by SHAH RAJNIKANT  
NAROTTAM DAS  
DN: cn=IN, o=Personal, title=0057,  
prevoIdnym=7999b185bd453e4b5cd4a6f  
c4c4476022aa16606,  
2.5.4.20=57077e4f45049aa5143cc1b151e  
6a58b302762c5989e271114044bd274cd  
85ed, postalCode=400058,  
st=MAHARASHTRA,  
serialNumber=2218272edebb14bbf451a  
250a50782b45c29993403c9f8880f701b  
f7489b449, cn=SHAH RAJNIKANT  
NAROTTAM DAS  
Date: 2021.05.25 08:25:31 +05'30'

**RAJNIKANT N SHAH  
Practicing Company Secretary  
FCS No: 1629  
C P No.: 700  
UDIN: F001629C000364662**