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# SMC GLOBAL SECURITIES LIMITED

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Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

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E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Date: 27<sup>th</sup> June, 2022

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| <b>Listing Operations</b><br><b>BSE Limited,</b><br><b>P J Towers, Dalal Street,</b><br><b>Mumbai-400001, India</b><br><br><b>Scrip Code: 543263</b> | <b>Listing Department</b><br><b>National Stock Exchange of India</b><br><b>Limited,</b><br><b>Exchange Plaza, C-1, Block G,</b><br><b>Bandra Kurla Complex,</b><br><b>Bandra</b><br><b>(E) Mumbai – 400051</b><br><br><b>Symbol: SMCGLOBAL</b> |
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## **Sub: Intimation of Alteration in the Object Clause of the Memorandum of Association of the Company.**

Dear Sir/Madam,

In furtherance to our intimation dated 07<sup>th</sup> May, 2022 and in accordance with the provisions of regulation 30 read with Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and basis the recommendation of the Board of Directors of the Company, we wish to inform you that the Members of the Company at the 28<sup>th</sup> Annual General Meeting of the Company held on 25<sup>th</sup> day of June, 2022 at 11:00 A.M., approved to alter the object clause of the Memorandum of Association by inserting Para 5 in Clause IIIA i.e. the main object clause of the Memorandum of Association of the Company for enabling the Company to act as an Investment Manager for the purpose of incorporation of Alternate Investment Fund Category III.

The brief objective of alteration in the object clause of the Company is due to the reason that the Company is proposing to incorporate an Alternative Investment Fund (AIF) Category III which is to be registered with SEBI in the form of a trust or company or limited liability partnership or body corporate in accordance with the provisions of SEBI (Alternate Investment Funds) Regulations, 2012. In this regard, the Company is intending to act as an Investment Manager of the AIF and accordingly, the object clause of the Memorandum of Association needs to be amended to include the said business object. Accordingly, a new sub-clause no. 5 has been approved to be included in Clause III (A) with respect to the main object clause of Memorandum of Association of the Company which reads as follows:

*“Subject to approval of the Securities and Exchange Board of India (SEBI) and any other regulatory/government authorities wherever required and subject to the compliance with*

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*SEBI (Alternative Investment Funds) Regulations, 2012, SEBI (Portfolio Managers) Regulations, 2020 and any other applicable laws, regulations or rules, to act as investment advisors, asset/investment managers, co-investment portfolio manager, management consultants, financial consultants, trustee, settlor, sponsor of investment vehicles including alternative investment fund/s, to seek appropriate regulatory licensing and carry out activities as required and permitted by the concerned regulator/s and to render all other services/activities as are usually rendered by investment advisors, asset/investment managers, co-investment portfolio managers, management consultants, financial consultants, including support and incidental services, to clients in India and abroad."*

Apart from the aforementioned insertion, there is no change in the Memorandum of Association of the Company.

This intimation along with the amended Memorandum of Association is available at the website of the Company [www.smcindiaonline.com](http://www.smcindiaonline.com).

This is for your information and record.

Thanking You,

**For SMC Global Securities Limited**

**Suman Kumar**

**E.V.P. (Corporate Affairs), Company Secretary & Compliance Officer  
Membership No. F5824**