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**Online Submission**

**October 7, 2020**

To,  
The National Stock Exchange of India  
Listing Department,  
Exchange Plaza,  
Bandra Kurla Complex  
Bandra East,  
Mumbai - 400 051  
Fax Nos.: 26598237 | 26598238

To,  
BSE Limited  
Listing Department,  
Phiroze Jeejeebhoy Towers,  
Dalal Street  
Mumbai- 400 001  
Fax Nos.: 22723121/2037/2039

**Ref: BSE Scrip Code: 532748/ NSE: PFOCUS**

**Sub.: Regulation 40(9) and Regulation 40(10) - Certificate for Half Year ended September 30, 2020**

Dear Sir/ Madam,

Pursuant to the provisions of Regulation 40(9) and Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, kindly find enclosed herewith the Certificate from a practicing Company Secretary for the half year ended September 30, 2020.

Kindly take the same on record and acknowledge the receipt.

Thanking you

**Yours Faithfully,**  
For **Prime Focus Limited**

**Parina Shah**  
**Company Secretary and Compliance officer**  
Encl: a/a



**S. N. ANANTHASUBRAMANIAN & CO**  
**Company Secretaries**

10/25-26, 2nd Floor, Brindaban,  
Thane (W) – 400 601  
T: +91 22 25345648 | +91 22 25432704  
E: [snaco@snaco.net](mailto:snaco@snaco.net) | W: [www.snaco.net](http://www.snaco.net)  
ICSI Unique Code: P1991MH040400

**CERTIFICATE UNDER REGULATION 40 (9) OF**  
**SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)**  
**REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2020**

We have examined the Share Transfer Forms, Memorandum of Transfers, registers, files and other documents relating to **Prime Focus Limited** bearing **CIN:L92100MH1997PLC108981** (ISIN INE367G01038) and having its registered office at Prime Focus House, Opp. CITI Bank, Linking Road, Khar (West), Mumbai – 400 052 (hereinafter referred to as the Company) and maintained by **Link Intime India Private Limited**, the Company's Registrar & Transfer Agents (RTA), relating to transfer and other requests received by the Company pertaining to certificate/s of equity shares, for the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** (review period) for the purpose of issuing a Certificate under Clause 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date and the Listing Agreement entered into by the Company with **BSE Limited** and **National Stock Exchange of India Limited**.

Based on the aforesaid examination and information provided by the officers of the Company and / its RTA, we hereby certify that;

**No requests for transfer/ consolidation/ sub-division/ renewal /exchange or endorsement of calls/allotment monies** were received by the Company during the period under review.

**For S. N. ANANTHASUBRAMANIAN & CO**  
**Company Secretaries**

APARNA  
KEDAR  
GADGIL

Digitally signed by  
APARNA KEDAR GADGIL  
Date: 2020.10.06  
15:49:32 +05'30'



**Aparna Gadgil**  
**Partner**

**ACS : 14713**  
**COP No. : 8430**

**ICSI UDIN : A014713B000863418**

**6<sup>th</sup> October, 2020 | Thane**