



Vaswani Industries Limited

POWER • SPONGE IRON • STEEL

• CIN - L28939CT2003PLC015964 • GSTN 22AABCV9564E1ZB

VIL/SECRETARIAL/2020-21

Date: 15th October, 2020

BY LISTING PORTAL

National Stock Exchange of India Ltd,
Listing Department
Exchange Plaza, 5th Floor,
Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (East),
Mumbai – 400 051

Bombay Stock Exchange Ltd.,
Listing Department
Market Operations Dept.
P. J. Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir(s),

REF: CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR) REGULATIONS, 2015

With reference to the above caption, we hereby submit the Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 issued from Satish Batra & Associates LLP, Company Secretaries for the half year ended 30th September, 2020.

This is for your information and records.

Thanking You,
Yours Faithfully

For Vaswani Industries Limited
VASWANI INDUSTRIES LTD

DIRECTOR

(Yashwant Vaswani)
Whole Time Director
DIN: 01627408

SATISH BATRA & ASSOCIATES LLP
COMPANY SECRETARIES

2nd floor, Ashoka Plaza, Ashoka Ratan,
Vidhan Sabha Marg, Shankar Nagar,
Raipur, (C. G.) 492007

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION, 2015 FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2020.

On the basis of confirmation received from **LINK INTIME INDIA PRIVATE LIMITED**, Registrar & Share Transfer Agent of **VASWANI INDUSTRIES LIMITED** (CIN: L28939CT2003PLC015964) the Company, we hereby certify that, there was no request for transfer, sub-division, consolidation, renewal, endorsement, Transposition & Transmission has been received by the Company during the period from **1st April, 2020 to 30th September, 2020.**

This certificate has been issued under Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulation, 2015.

For Satish Batra & Associates LLP,
Company Secretaries



Satish Kumar Batra
Designated Partner
FCS No.: 1316,
C.P. No. 2875

UDIN No: F001316B000944907

Date: 14th October, 2020
Place: Raipur (C. G.)



Link Intime India Pvt. Ltd.

CIN : U67190MH1999PTC118368

C-101, 247 Park, L.B.S. Marg,

Vikhroli (West), Mumbai - 400 083

Phone: +91 22 4918 6000

Fax: +91 22 4918 6060

Email: mumbai@linkintime.co.in

Website: www.linkintime.co.in

Date- 7th October, 2020

To,
Company Secretary
Vaswani Industries Limited

Subject: Confirmation on Regulation 40(9)

Dear Sir / Madam,

We are the Registrar and Share Transfer Agent of Vaswani Industries Limited.

This is to certify that we have not received and processed any Transfer, Transmission and Name deletion request from the period 01.04.2020 to 30.09.2020.

This is for your information.

Thanking You!

For Link Intime India Pvt. Limited

Sd/-

Authorised Signatory