

CIN : U74900TN2010PLC074294

GSTIN : 33AAECR6158H1ZB



RITHWIK FACILITY MANAGEMENT SERVICES LIMITED

(Formerly Known as : Rithwik Building Services Private Limited)

Regd. Office : R R Tower III, Thiru-Vi-Ka Industrial Estate, Guindy, Chennai - 600 032
Phone : 91 - 44 - 4353 4441 E-mail : info@rithwik.co.in Website : www.rithwik.co.in

Date: 26-08-2019

BSE Limited
BSE SME Platform
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Scrip Code: 540843

Sub: Annual Secretarial Compliance Report of the Company for the year ended March 31, 2019

Ref: SEBI circular CIR/CFD/CMD1/27/2019 dated February 8, 2019

With reference to the SEBI circular CIR/CFD/CMD1/27/2019 dated February 8, 2019, please find enclosed Annual Secretarial Compliance Report duly signed by the Practicing Company Secretaries for the year ended March 31, 2019.

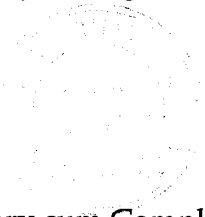
Please take the above information on record.

Yours faithfully,

For Rithwik Facility Management Services Limited



S Jayapandi
Company Secretary cum Compliance Officer





S. VASUDEVAN M.Com., ML., FCS., CFA; PhD.,
Practising Company Secretary

'Raji' 3B1, 3rd Floor, Gaiety Palace, No.1L, Blackers Road, Mount Road,
Chennai-600 002. Ph.044-2852 8292



REGISTERED INSOLVENCY PROFESSIONAL
Reg.No:IBBI/IPA/002/IF/N00573/2017-18/11740



Secretarial Compliance Report of Rithwik Facility Management Services Limited for the year ended March 31, 2019

To
Rithwik Facility Management Services Limited
CIN: L74900TN2010PLC074294
RR Tower III,
Thiru-Vi-Ka Industrial Estate
Guindy, Chennai-600032.

I, S Vasudevan have examined:

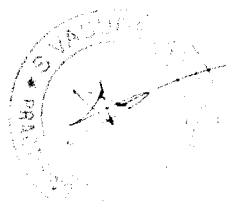
- a) all the documents and records made available to us and explanation provided by Rithwik Facility Management Services Limited ("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) Website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2019 ("Review Period") in respect of compliance with the provisions of :

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations , circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;



b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;(Not applicable to the company during the review period)

e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;(Not applicable to the company during the review period)

f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable to the company during the review period)

g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;(Not applicable to the company during the review period)

h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

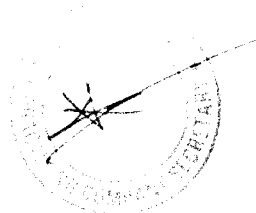
i) Securities and Exchange Board of India(Depositories and Participant) Regulations, 2018;
and circulars/ guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period

a)The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr No	Compliance Requirement (Regulations/ circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1	NIL	NIL	NIL

b) Rithwik Facility Management Services Limited, the listed entity do have the paid up capital less than Rs .10 Crores and networth less than 25 Crores and hence the compliance with the corporate governance provisions as specified in SEBI (LODR) regulations 17, 17A,18, 19, 20, 21,22, 23, 24, 24A, 25, 26, 27 and clauses (b) to (i) of sub-regulation(2) of regulation 46 and para C,D and E of Schedule V is not applicable to the entity.

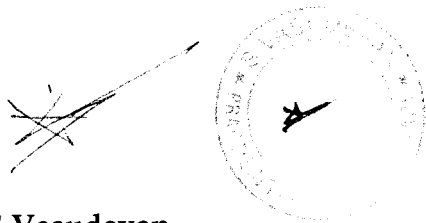


c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/guidelines issued thereunder:

Sr.No	Actions Taken by BSE	Details of Violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	Regulaion 13(3) of LODR	Filed beyond 21 days	Fine of Rs.18000	Filed on 8/2/2019.

d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr.No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the Secretarial Compliance report for the year ended(The years are to be mentioned)	Actions taken by the listed entity ,If any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	NIL	NIL	NIL	NIL



S Vasudevan
Practicing Company Secretary
FCS 6931, C.P. No. 20094

Date: 26-8-2019

Place: Chennai